

Immune Checkpoint Inhibitors for Lung Cancer

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Non-Small Cell Lung Cancer

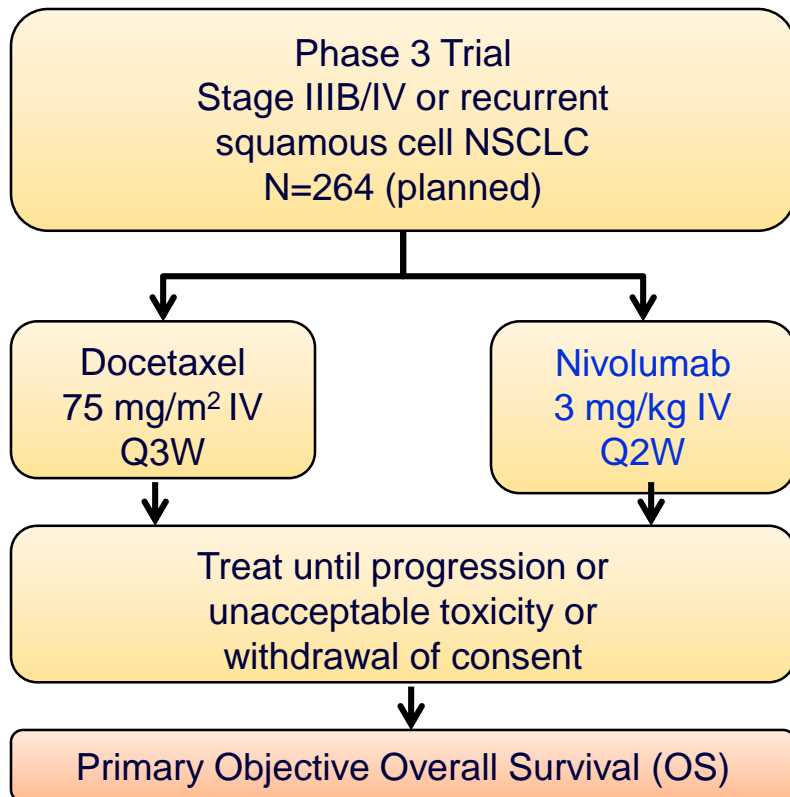
- PD-1/PD-L1 Inhibitors in second-line therapy
 - Nivolumab
 - Pembrolizumab
 - Atezolizumab
- PD-L1 expression as a biomarker
- First-line treatment
 - PD-1 inhibitor versus chemotherapy
 - Chemotherapy +/- PD-1 inhibitors
 - Nivolumab +/- ipilimumab
- Consolidation treatment
 - Durvalumab

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Phase III - CheckMate 017

2nd Line Nivolumab vs. Docetaxel in SCC



Key Eligibility Criteria

- Stage IIIB/IV squamous cell NSCLC or recurrent disease following RT or surgical resection
- Prior Pt-containing chemotherapy
- ECOG PS ≤ 1
- Formalin fixed, paraffin-embedded (FFPE) tumor tissue block or unstained slides of tumor sample (archival or recent) must be available for biomarker evaluation

Primary Endpoints

- OS

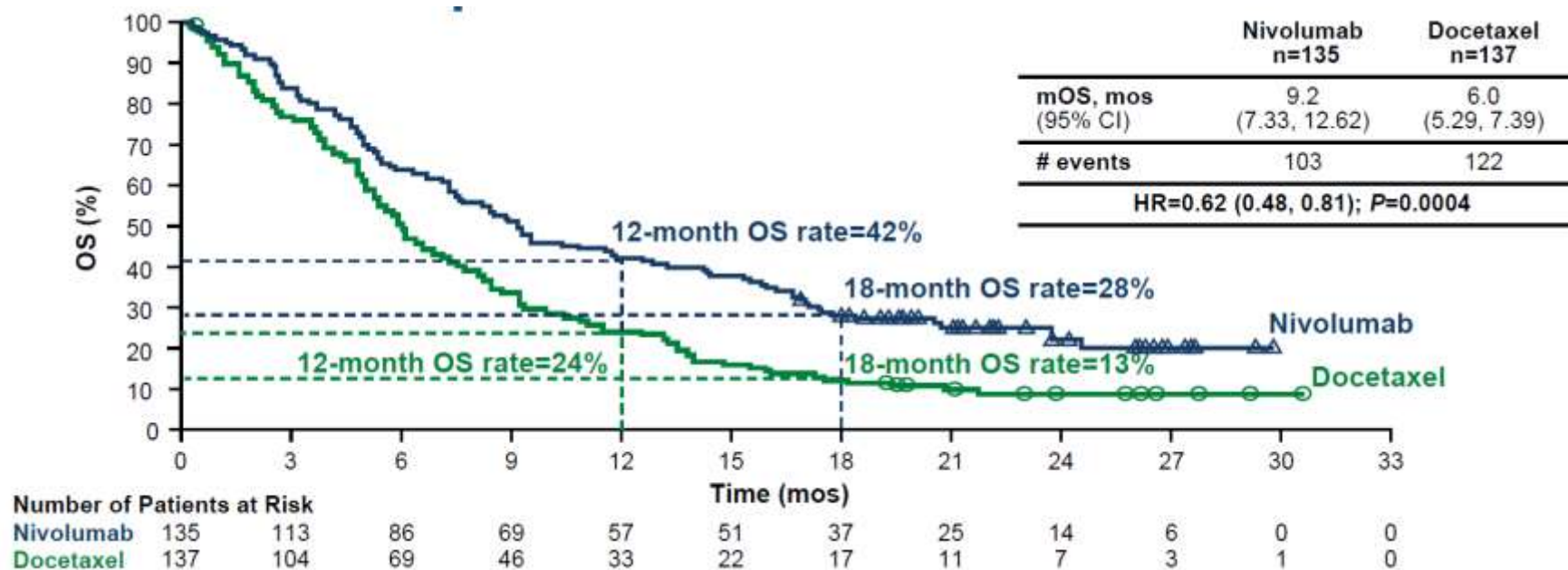
Secondary Endpoints

- PFS and ORR
- ORR and OS in PD-L1+ vs PD-L1- subgroups
- Duration of OR
- Time to OR
- Proportion of patients exhibiting disease-related symptom progression per Lung Cancer Symptom Scale

Phase III - CheckMate 017

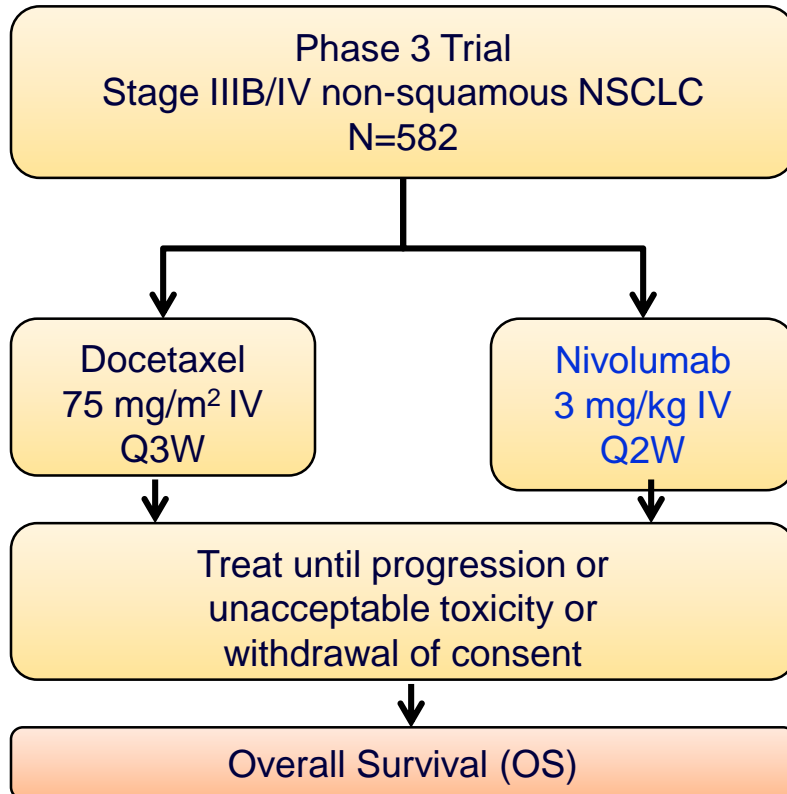
2nd Line Nivolumab vs. Docetaxel in SCC

Overall Survival



Phase III - CheckMate 057

Nivolumab vs. Docetaxel in Non-SCC



Key Eligibility Criteria

- Stage IIIB/IV non-squamous NSCLC
- Prior Pt-containing chemotherapy (2nd-line) required: additional TKI therapy allowed (3rd-line)
- Patient may have received continuous or switch maintenance with pemetrexed, erlotinib, or bevacizumab post-Pt-containing chemotherapy
- ECOG PS ≤ 1
- Formalin fixed, paraffin-embedded (FFPE) tumor tissue block or unstained slides of tumor sample (archival or recent) must be available for biomarker evaluation

Primary Endpoint

- OS

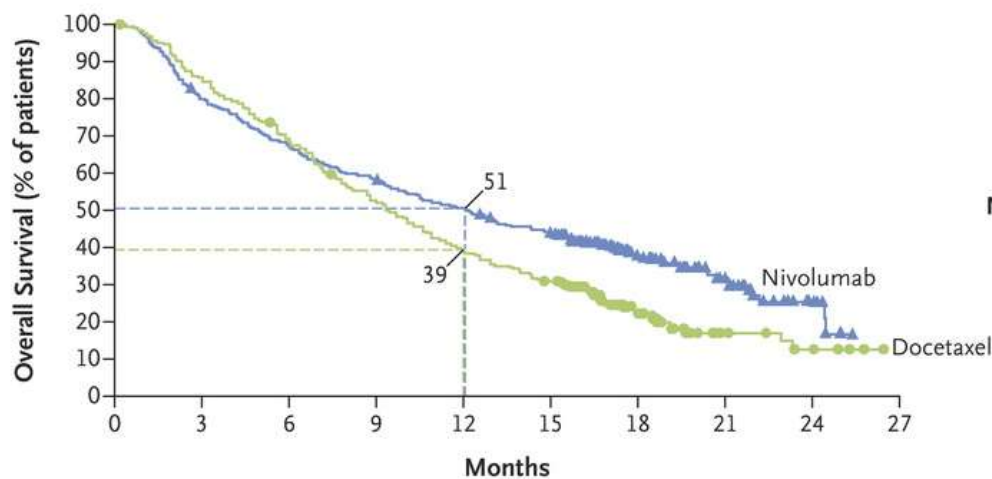
Secondary Endpoints

- PFS
- ORR
- QoL

Phase III - CheckMate 057

2nd Line Nivolumab vs. Docetaxel in Non-SCC

Overall Survival



	No. of Deaths/ Total No. of Patients	Median Overall Survival (95% CI) <i>mo</i>	1-Yr Overall Survival Rate (95% CI) <i>%</i>
Nivolumab	190/292	12.2 (9.7–15.0)	51 (45–56)
Docetaxel	223/290	9.4 (8.1–10.7)	39 (33–45)

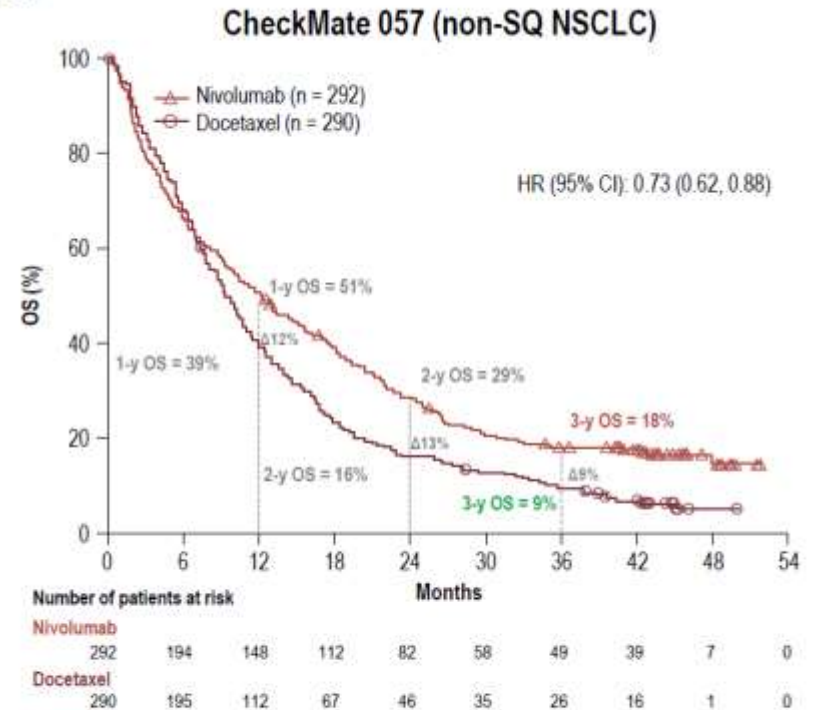
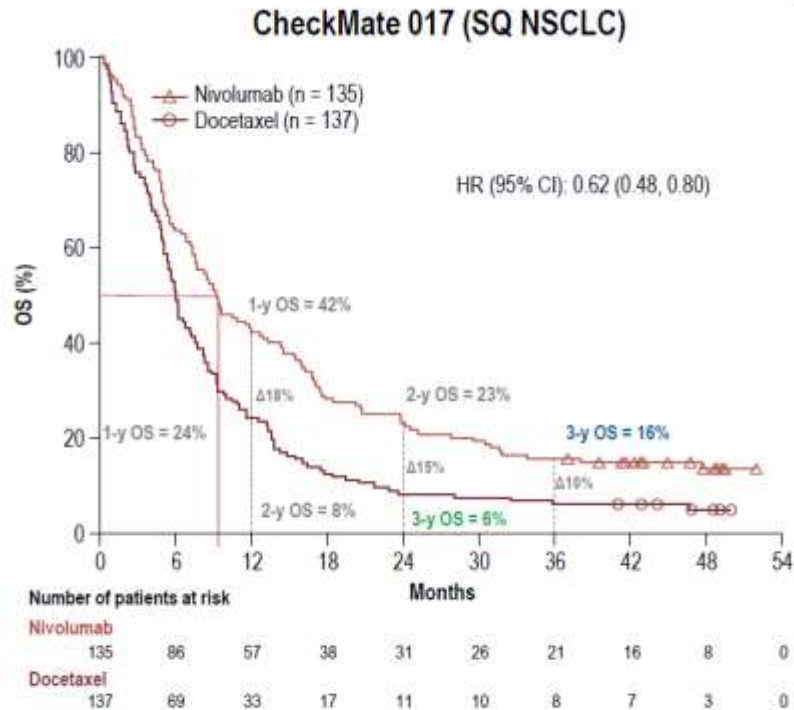
Hazard ratio for death, 0.73 (96% CI, 0.59–0.89)
P=0.002

No. at Risk	0	3	6	9	12	15	18	21	24	27
Nivolumab	292	232	194	169	146	123	62	32	9	0
Docetaxel	290	244	194	150	111	88	34	10	5	0

Phase III - CheckMate 017 and 057

Long-Term Overall Survival

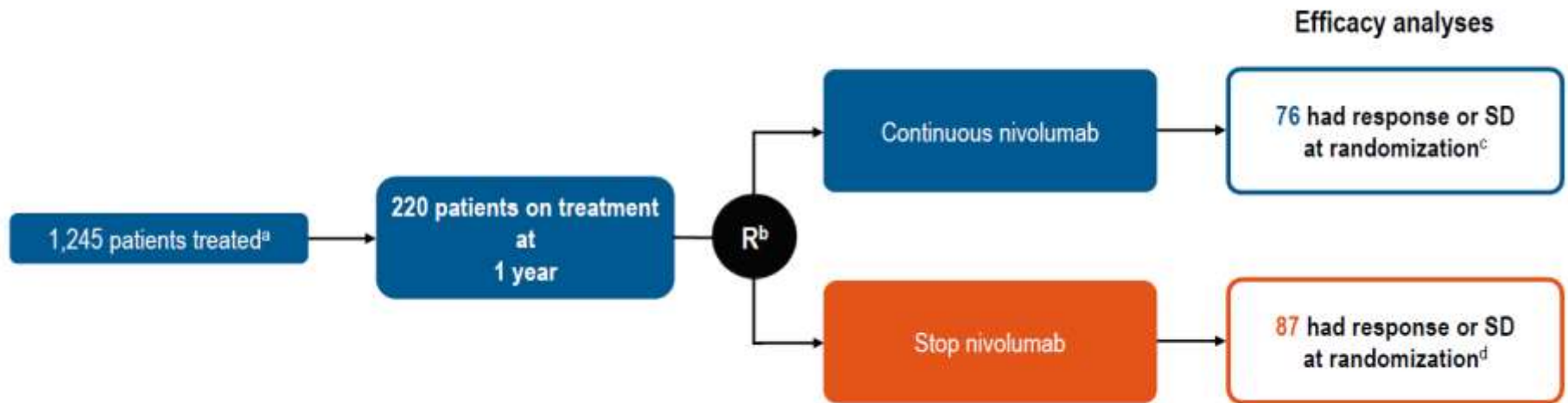
OS Data



- 3-year OS rates were 16% versus 6% in CheckMate 017 and 18% versus 9% in CheckMate 057 with nivolumab and docetaxel, respectively
- Of the 3-year survivors treated with docetaxel, the majority received subsequent immunotherapy, either during crossover to nivolumab or as post-study treatment (CheckMate 017: 75% [6/8 patients]; CheckMate 057: 73% [19/26 patients])

Phase III - CheckMate 153

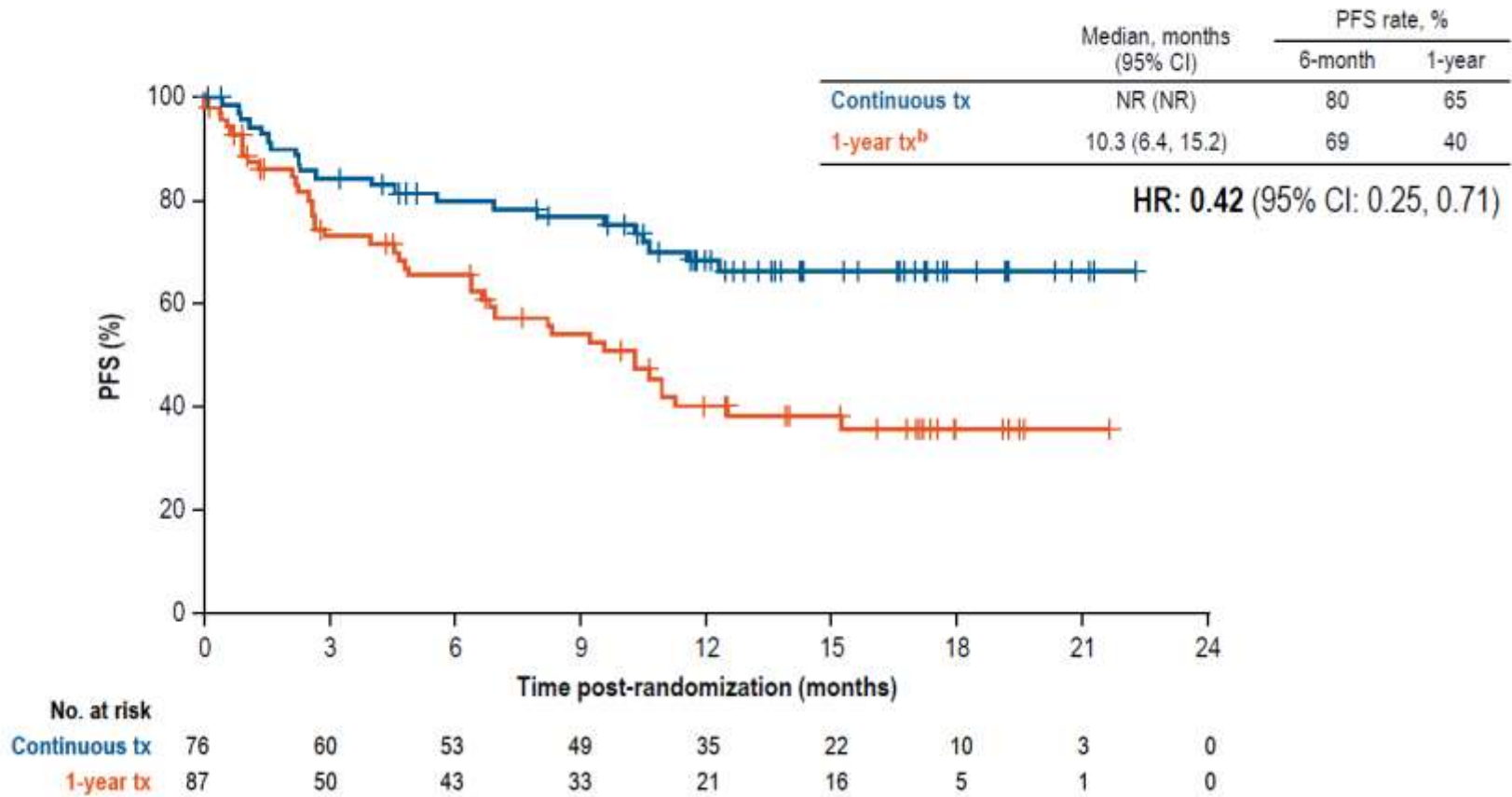
Nivolumab Continuous vs. 1 Year



Phase III - CheckMate 153

Nivolumab Continuous vs. 1 Year

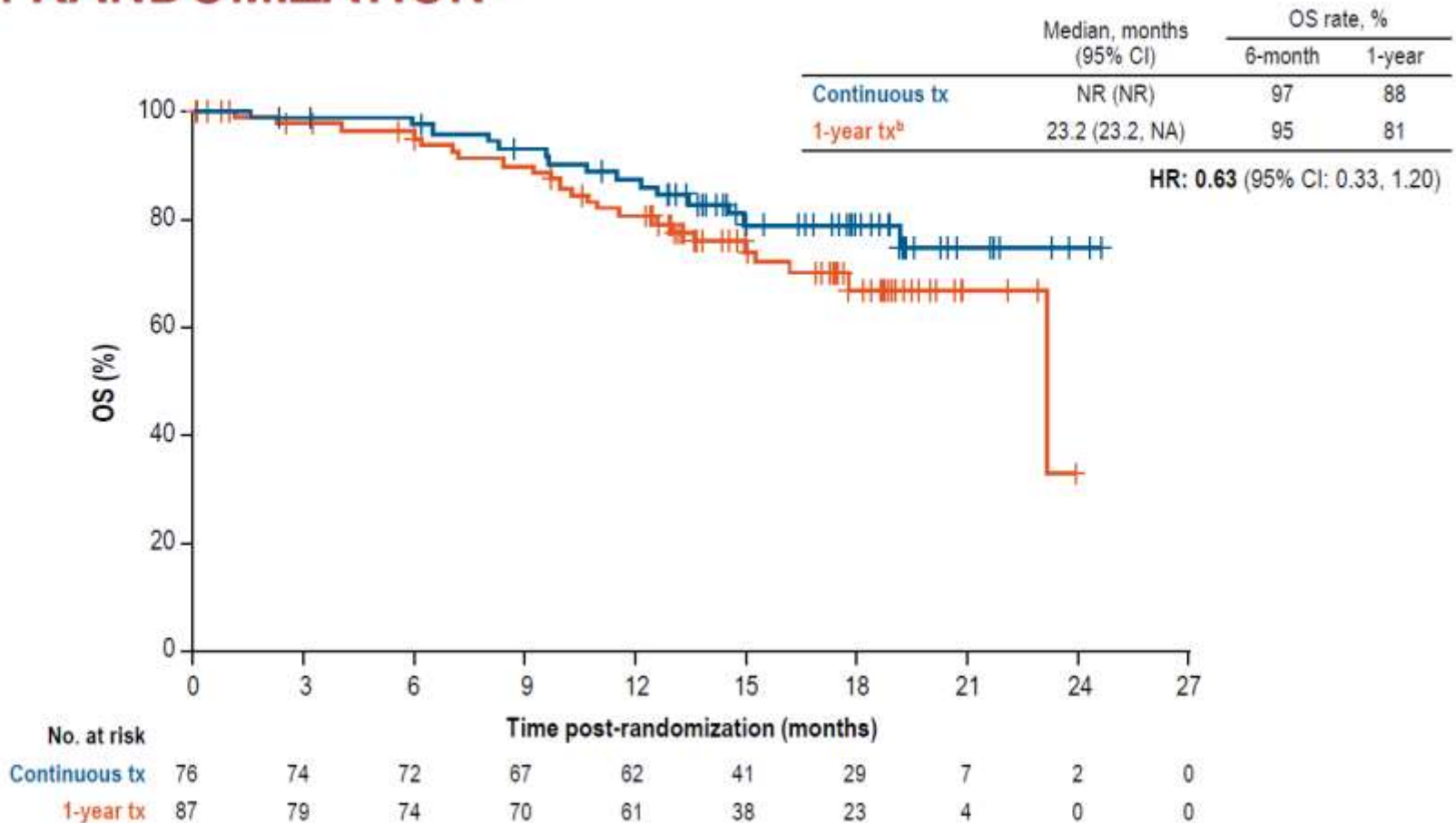
PFS FROM RANDOMIZATION



Phase III - CheckMate 153

Nivolumab Continuous vs. 1 Year

OS FROM RANDOMIZATION

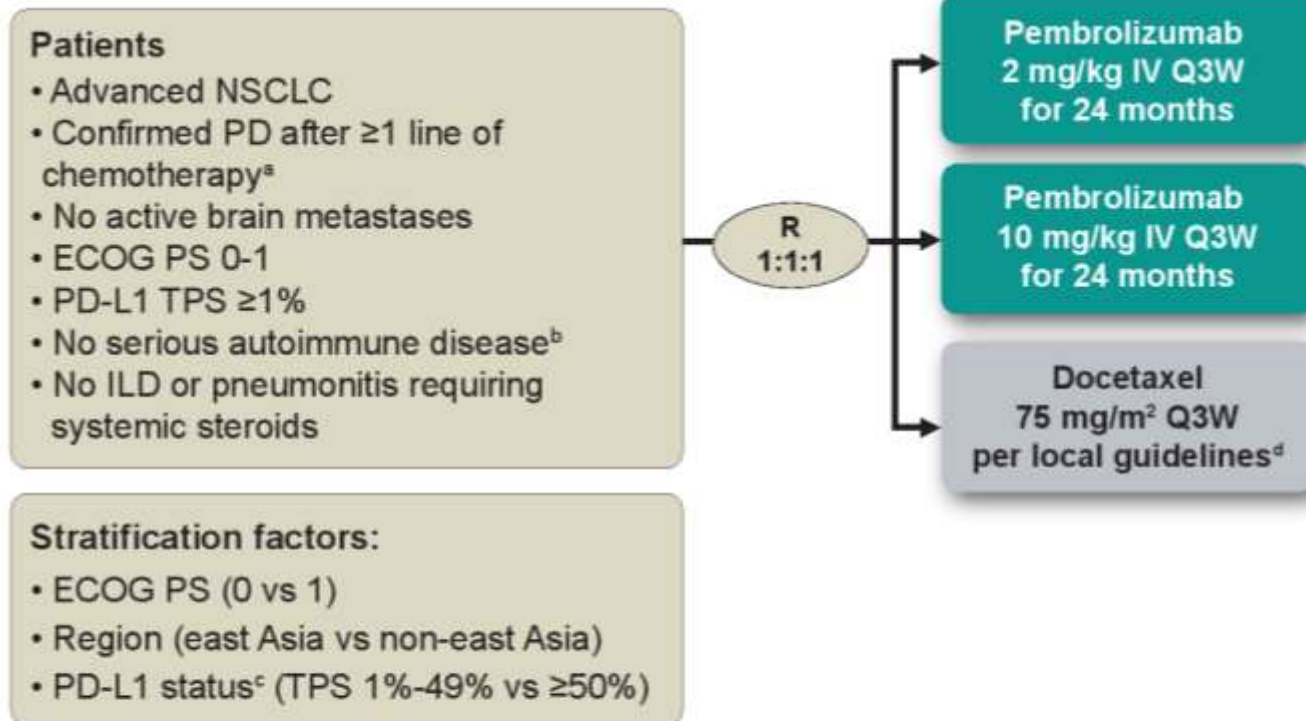


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Phase II/III - Keynote 010

Pembrolizumab vs. Docetaxel in NSCLC



^aPrior therapy must have included ≥ 2 cycles of platinum-doublet chemotherapy. An appropriate tyrosine kinase inhibitor was required for patients whose tumors had an EGFR sensitizing mutation or an ALK translocation.

^bNo active or documented history of any autoimmune disease or syndrome that required systemic steroids or immunosuppressive agents, excluding patients with vitiligo, resolved childhood asthma/atopy, or those that required inhaled steroids or local steroid injections.

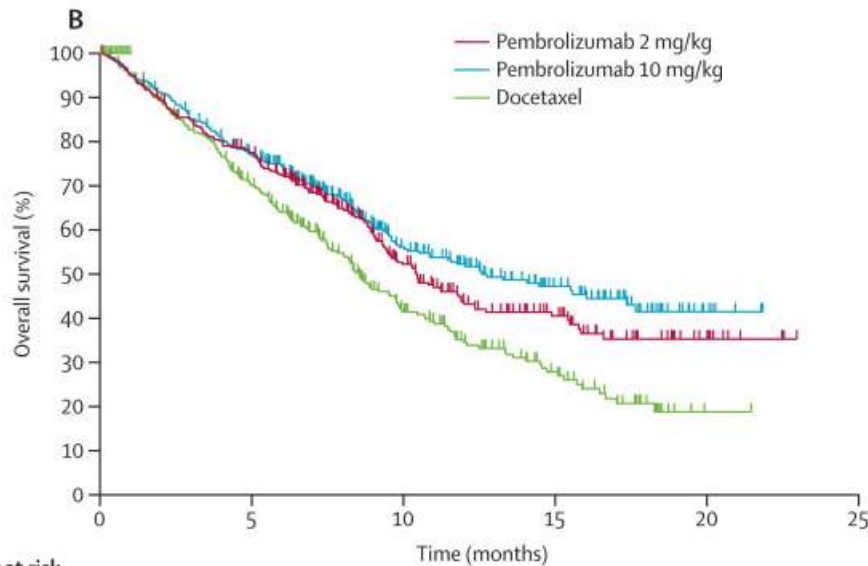
^cBased on results from KEYNOTE-0013 and added after 441 patients enrolled to ensure equal distribution of TPS $\geq 50\%$ and 1%-49% in subsequently enrolled patients.

^dPatients received the maximum number of cycles permitted by the local regulatory authority.

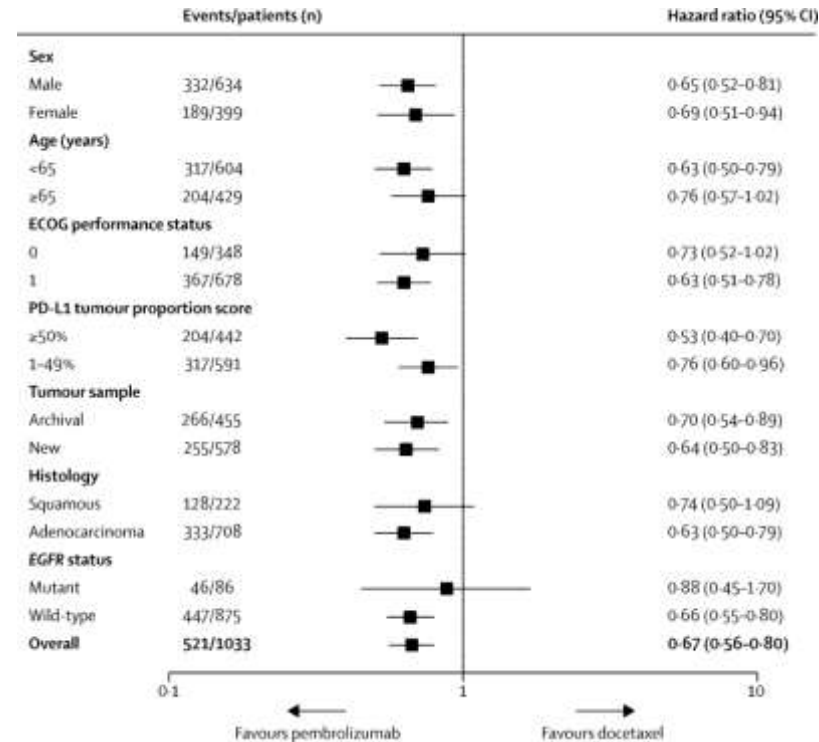
ECOG PS = Eastern Cooperative Oncology Group performance status; ILD = interstitial lung disease; PD = progressive disease; R = randomized.

Phase II/III - Keynote 010

Pembrolizumab vs. Docetaxel in NSCLC



	0	5	10	15	20	25
Number at risk						
Pembrolizumab 2 mg/kg	344	259	115	49	12	0
Pembrolizumab 10 mg/kg	346	255	124	56	6	0
Docetaxel	343	212	79	33	1	0



Median overall survival:

Docetaxel: 8.5 months

Pembrolizumab 2 mg/kg: 10.4 months (HR=0.71, 95% CI 0.58-0.88; p=0.0008)

Pembrolizumab 10 mg/kg: 12.7 months (HR=0.61, 95% CI 0.49-0.75; p<0.0001)

Phase II/III - Keynote 010

Pembrolizumab vs. Docetaxel in NSCLC – TPS 1-49%

Figure 2. Kaplan-Meier estimates of OS in the PD-L1 TPS 1%-49% stratum.

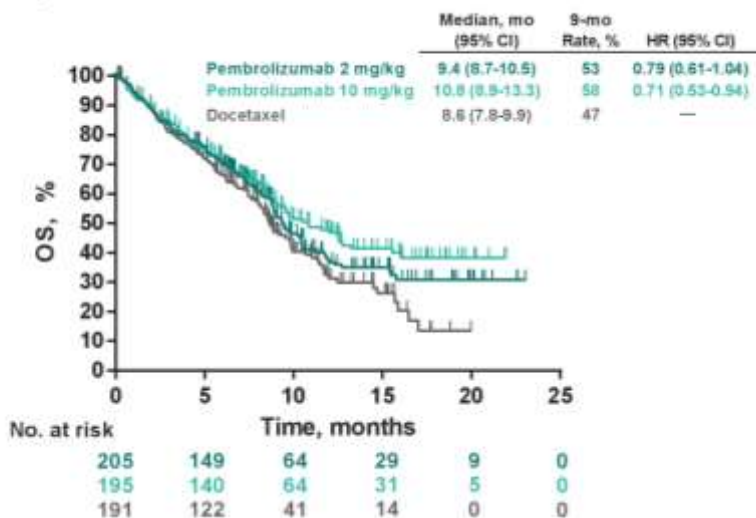


Table 2. ORR and DOR per RECIST v1.1 by independent central review in the PD-L1 TPS 1%-49% Stratum

	Pembrolizumab 2 mg/kg n = 205	Pembrolizumab 10 mg/kg n = 195	Docetaxel n = 191
ORR, % (95% CI)	10 (6-15)	10 (6-15)	10 (6-16)
DOR, median (range), wk	45 (9+ to 87+)	45 (13+ to 74+)	26 (6+ to 31)
Ongoing response, ^a %	65	65	35

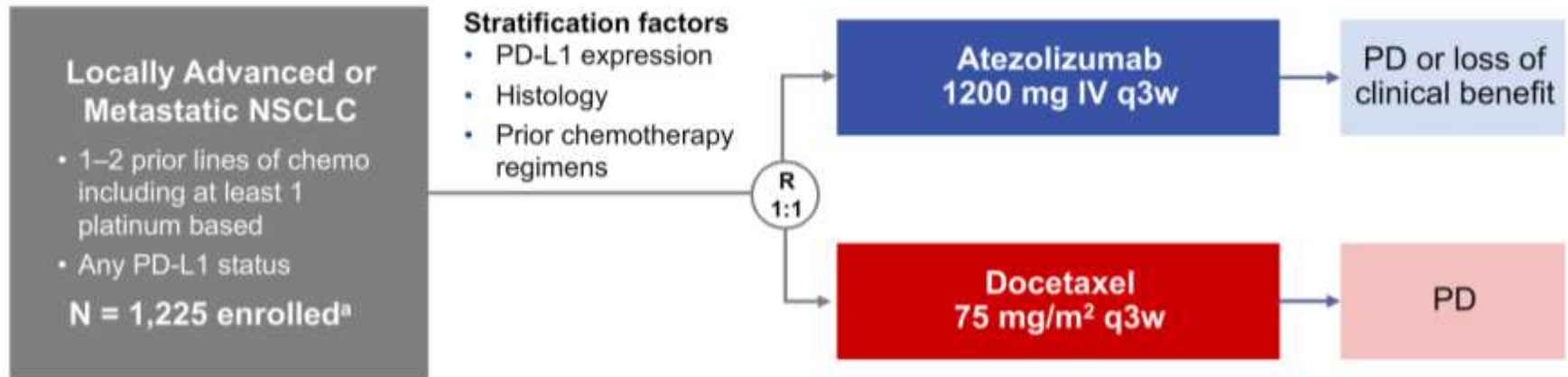
^aResponders who are alive, progression free, did not initiate new anticancer therapy, and were not lost to follow-up

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Phase III - OAK

Atezolizumab vs. Docetaxel in NSCLC



Primary Endpoints (first 850 enrolled patients):

- OS in the ITT population
- OS in patients with PD-L1 expression on $\geq 1\%$ TC or IC

Secondary Endpoints: ORR, PFS, DoR, Safety

^aA prespecified analysis of the first 850 patients provided sufficient power to test the co-primary endpoints of OS in the ITT and TC1/2/3 or IC1/2/3 subgroup ($\geq 1\%$ PD-L1 expression).

TC, tumor cells; IC, tumor-infiltrating immune cells.

PHASE III - OAK

ATEZOLIZUMAB VS. DOCETAXEL IN NSCLC

BASELINE CHARACTERISTICS, ITT (N = 850)

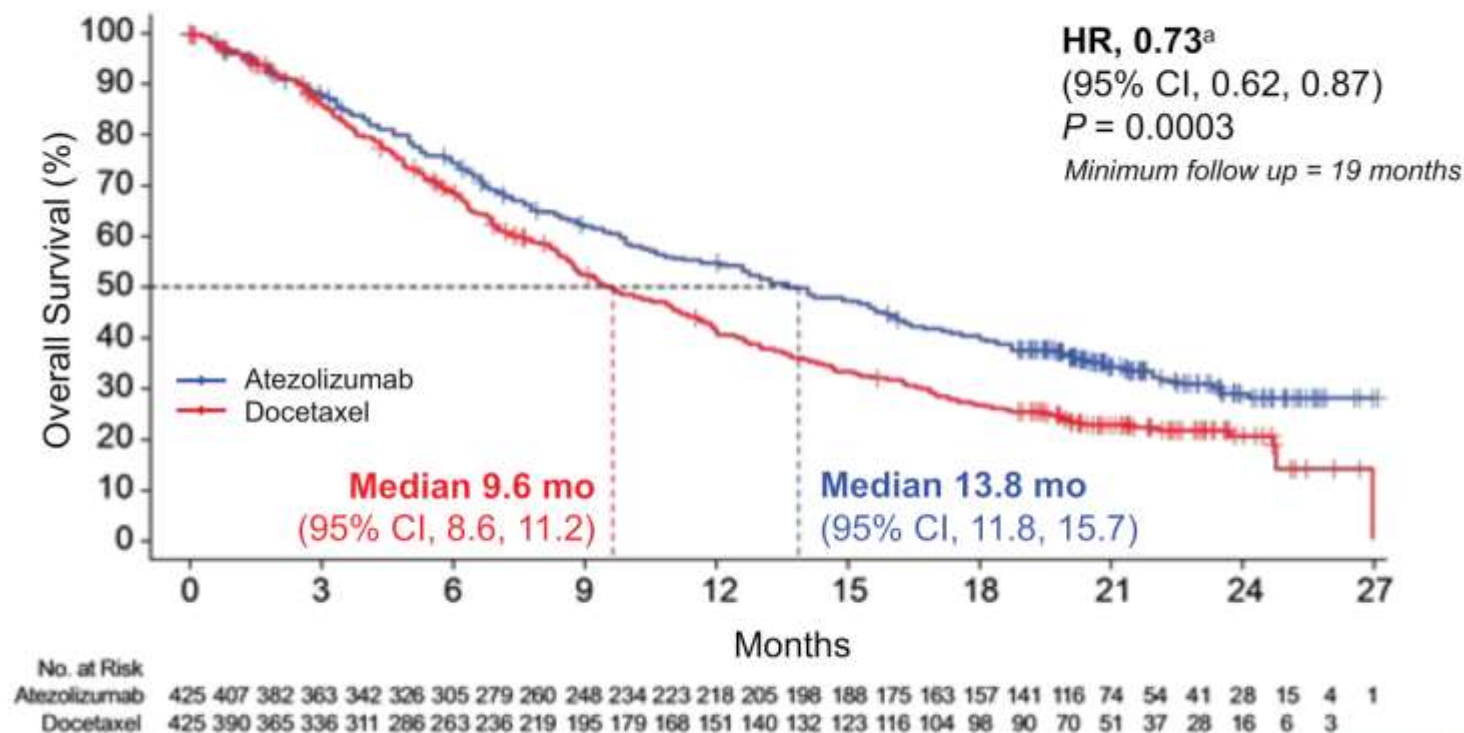
Characteristics	Atezolizumab n = 425	Docetaxel n = 425
Median age, y	63 y	64 y
≥ 65 y	45%	49%
Male	61%	61%
Histology		
Nonsquamous	74%	74%
Squamous	26%	26%
ECOG PS, 0 / 1	37% / 64%	38% / 62%
No. of prior therapies, 1 / 2	75% / 25%	75% / 25%
History of tobacco use		
Never	20%	17%
Current / Previous	14% / 66%	16% / 67%
CNS mets, Yes / No	9% / 91%	11% / 89%
Known EGFR status		
Mutant / Wild type	10% / 75%	10% / 73%

Barlesi et al, Atezolizumab Phase III OAK Study. <http://tago.ca/9Hh>

Phase III - OAK

Atezolizumab vs. Docetaxel in NSCLC

OVERALL SURVIVAL, ITT (N = 850)



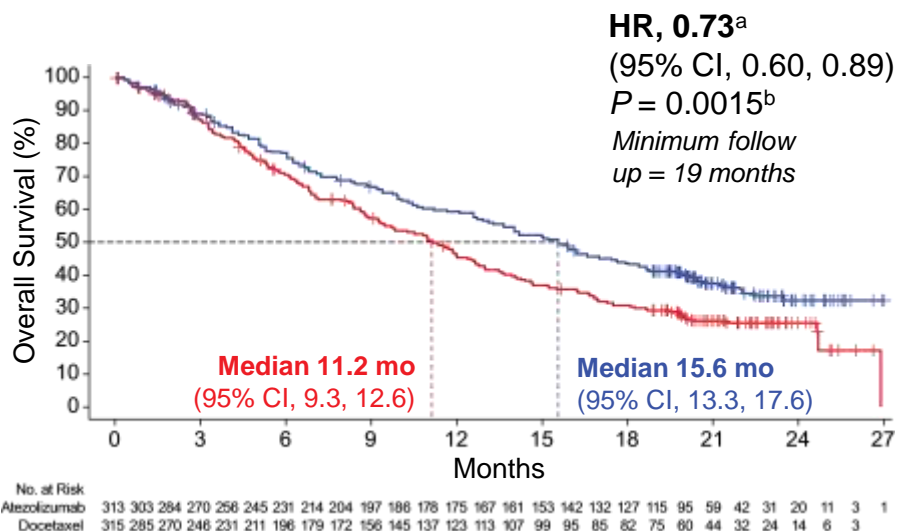
^aStratified HR.

PHASE III - OAK

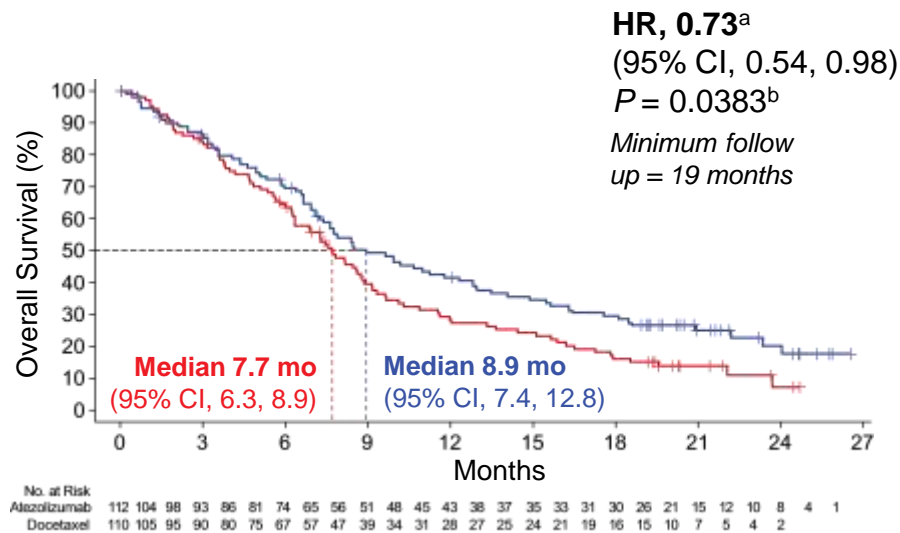
ATEZOLIZUMAB VS. DOCETAXEL IN NSCLC

OS BY HISTOLOGY

Non-squamous



Squamous



—+— Atezolizumab
 —+— Docetaxel

^aUnstratified HRs.
^b*P* values for descriptive purpose only.
 Histology information from eCRF.
 OS, overall survival.

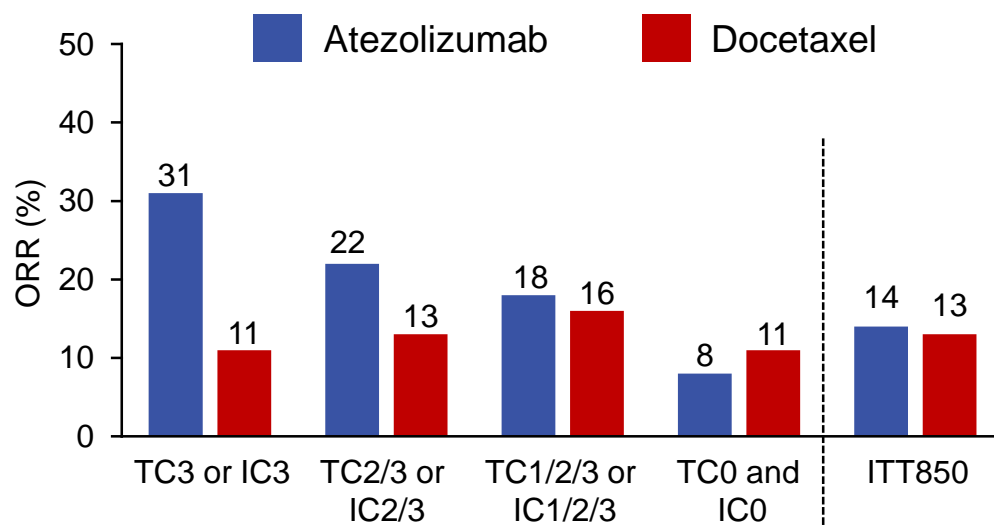
Barlesi et al, Atezolizumab Phase III OAK Study. <http://tago.ca/9Hh>

PHASE III - OAK

ATEZOLIZUMAB VS. DOCETAXEL IN NSCLC

ORR AND DOR

Objective Response Rate



Duration of Response

	Atezolizumab	Docetaxel
ITT	n = 58	n = 57
Ongoing response	52%	18%
Median (mo)	16.3	6.2
TC1/2/3 or IC1/2/3	n = 43	n = 36
Ongoing response	47%	11%
Median (mo)	16.0	6.2
TC0 and IC0	n = 14	n = 21
Ongoing response	71%	29%
Median (mo)	NE	6.2

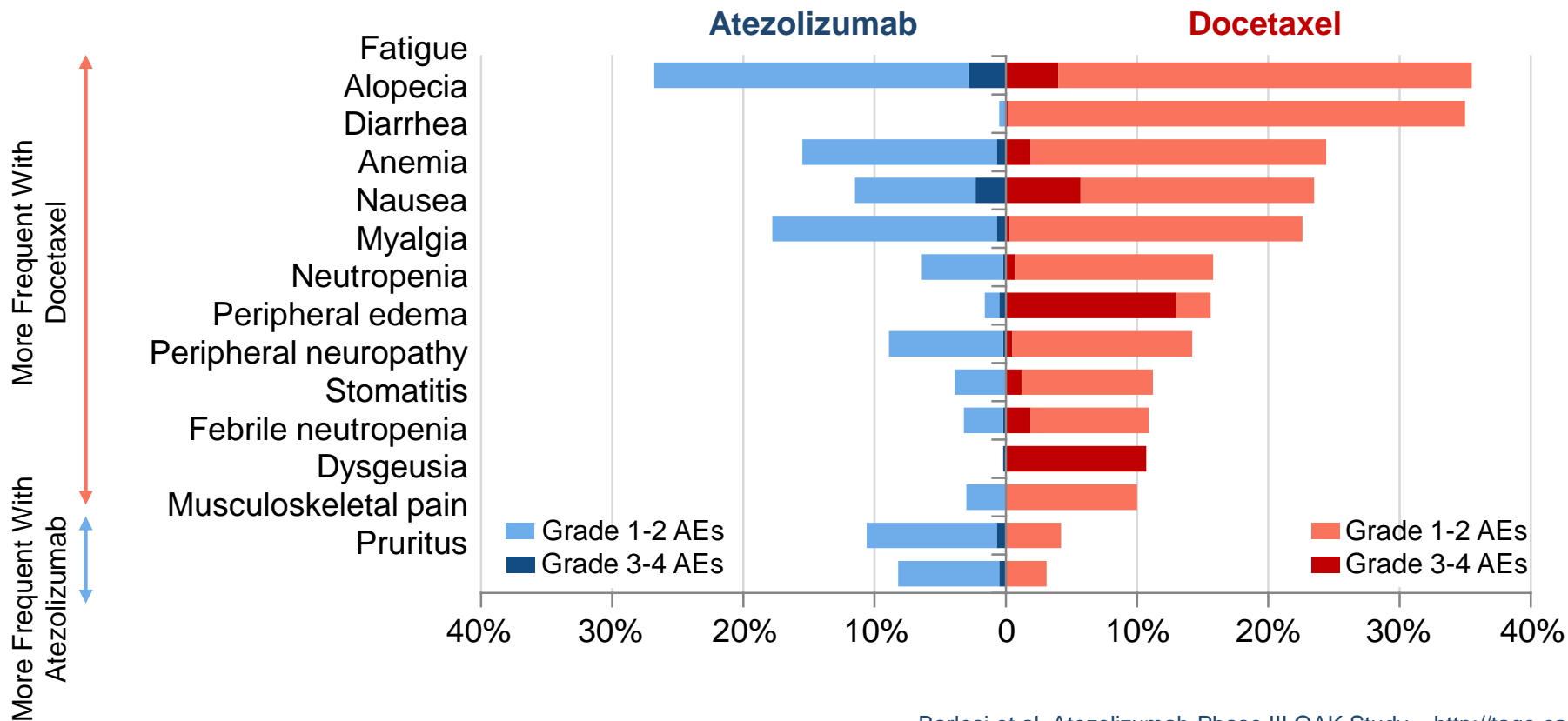
Confirmed investigator-assessed ORR per RECIST v1.1.
DOR, duration of response; NE, not estimable; ORR, objective response rate.
TC, tumor cells; IC, tumor-infiltrating immune cells.

Barlesi et al, Atezolizumab Phase III OAK Study. <http://tago.ca/9Hh>

PHASE III - OAK

ATEZOLIZUMAB VS. DOCETAXEL IN NSCLC

ALL CAUSE AEs
 >5% DIFFERENCE BETWEEN ARMS



Barlesi et al, Atezolizumab Phase III OAK Study. <http://tago.ca/9Hh>

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Efficacy According to PD-L1

Phase III - CheckMate 057

2-year Overall Survival – Non-Squamous NSCLC

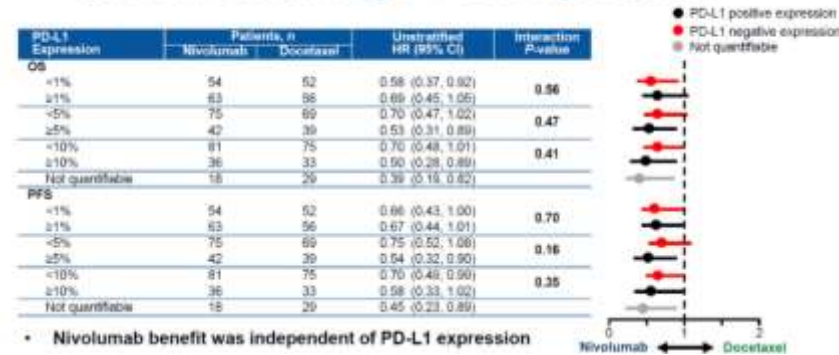


Borghaei H et al. ASCO 2016.

Phase III - CheckMate 017

2nd Line Nivolumab vs. Docetaxel in SCC

Survival Benefit By PD-L1 Expression

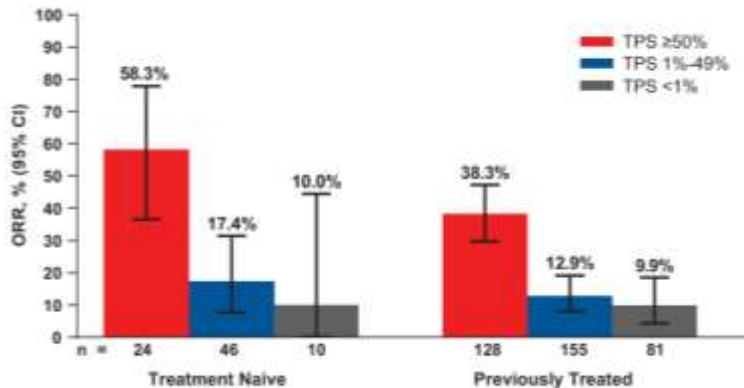


Reckamp K et al, IASLC 2015

Phase I - Keynote 001

Pembrolizumab in NSCLC

Figure 3. ORR Assessed per RECIST v1.1 by Independent Central Review in Treatment-Naive and Previously Treated Patients by PD-L1 TPS.



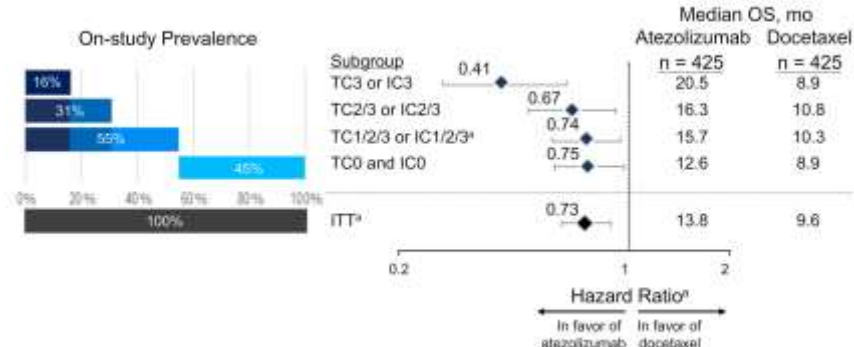
Only patients with measurable disease per RECIST v1.1 by independent central review were evaluated for ORR. All responses were confirmed. Patients with unknown PD-L1 TPS were excluded.

Garon EB et al. N Engl J Med 2015;372:2018-2028; Hui et al. ASCO 2016.

Phase III - OAK

Atezolizumab versus Docetaxel in NSCLC

OS BY PD-L1 EXPRESSION



*Stratified HR for ITT and TC1/2/3 or IC1/2/3. Unstratified HR for subgroup. TC, tumor cells; IC, tumor-infiltrating immune cells; OS, overall survival.

Barlesi et al. ESMO 2016

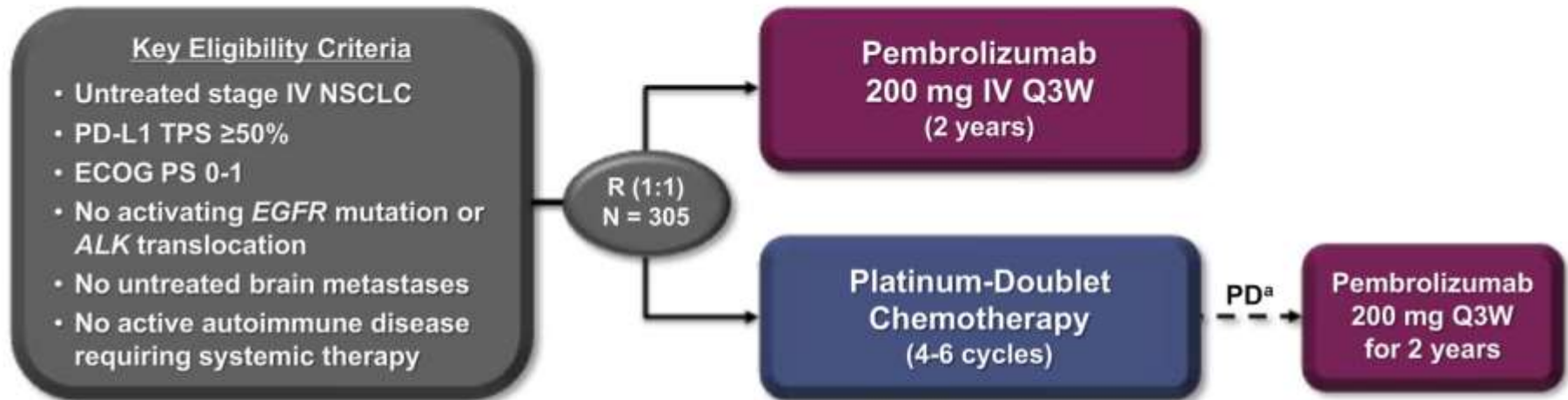
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Phase III - Keynote 024

First-line Platinum Doublet vs. Pembrolizumab

KEYNOTE-024 Study Design (NCT02142738)



Key End Points

Primary: PFS (RECIST v1.1 per blinded, independent central review)

Secondary: OS, ORR, safety

Exploratory: DOR

^aTo be eligible for crossover, progressive disease (PD) had to be confirmed by blinded, independent central radiology review and all safety criteria had to be met.

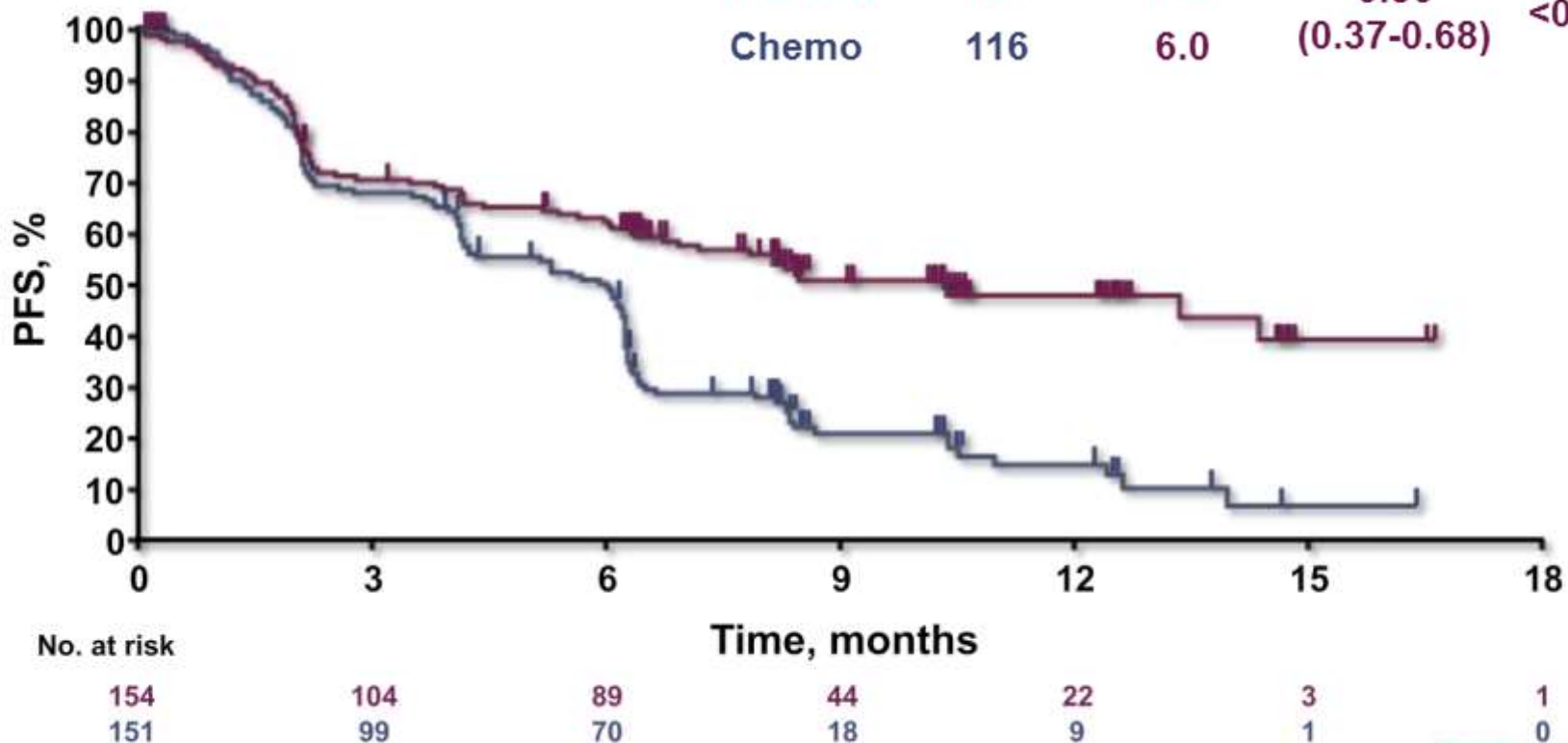


Phase III - Keynote 024

First-line Platinum Doublet vs. Pembrolizumab

Progression-Free Survival

	Events, n	Median, mo	HR (95% CI)	<i>P</i>
Pembro	73	10.3	0.50	<0.001
Chemo	116	6.0	(0.37-0.68)	



Assessed per RECIST v1.1 by blinded, independent central review.
Data cut-off: May 9, 2016.

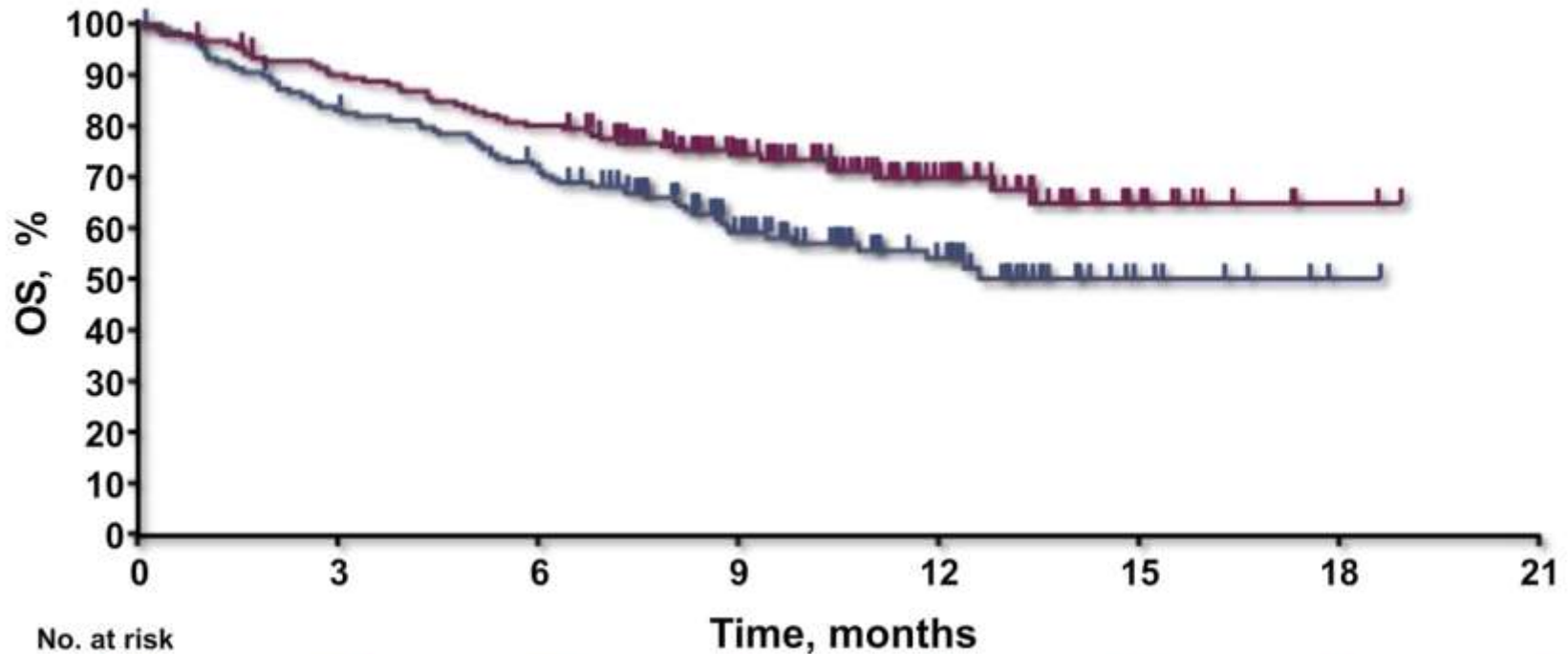


Phase III - Keynote 024

First-line Platinum Doublet vs. Pembrolizumab

Overall Survival

	Events, n	Median, mo	HR (95% CI)	<i>P</i>
Pembro	44	NR	0.60	0.005
Chemo	64	NR	(0.41-0.89)	



No. at risk

Time, months	0	3	6	9	12	15	18	21
Pembro	154	136	121	82	39	11	2	0
Chemo	151	123	106	64	34	7	1	0

Data cut-off: May 9, 2016.



Phase III - Keynote 024

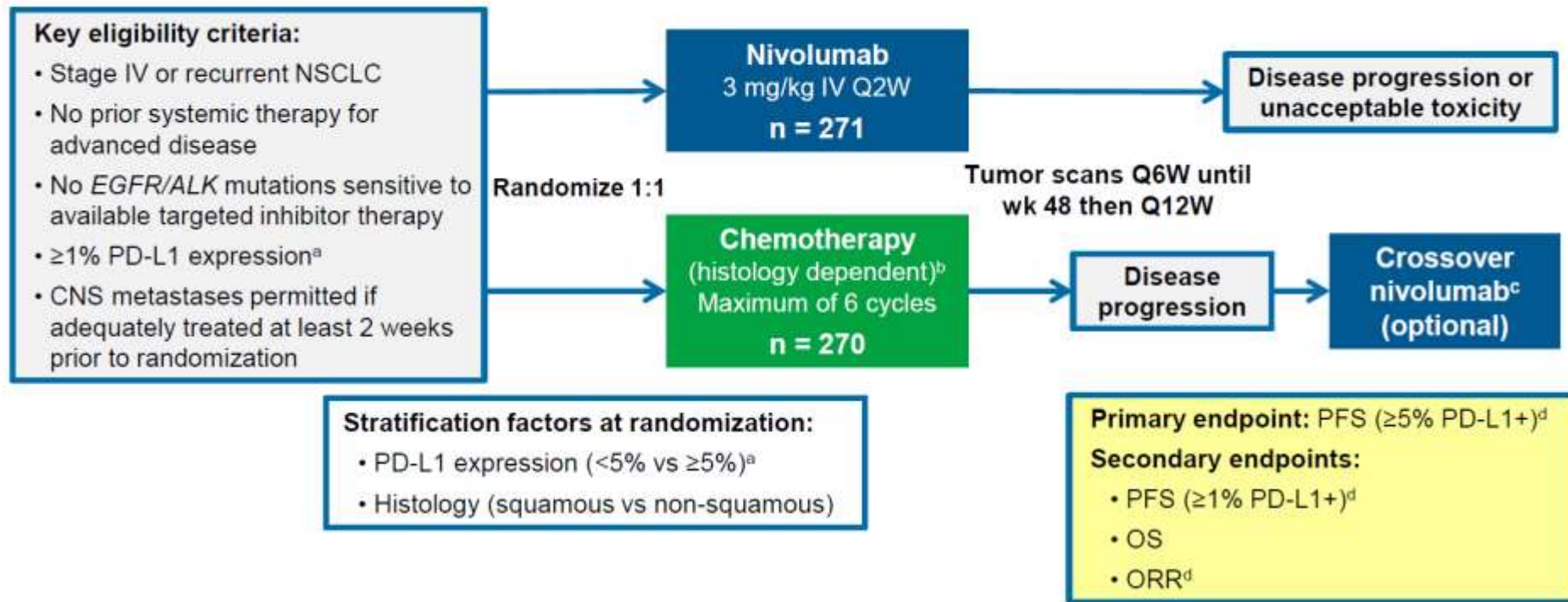
First-line Platinum Doublet vs. Pembrolizumab

Kaplan-Meier Estimate of OS: Updated Analysis



Phase III - Checkmate 026

First-line Platinum Doublet vs. Nivolumab



^aDako 28-8 validated; archival tumor samples obtained ≤ 6 months before enrollment were permitted; PD-L1 testing was centralized

^bSquamous: gemcitabine 1250 mg/m² + cisplatin 75 mg/m²; gemcitabine 1000 mg/m² + carboplatin AUC 5; paclitaxel 200 mg/m² + carboplatin AUC 6;

Non-squamous: pemetrexed 500 mg/m² + cisplatin 75 mg/m²; pemetrexed 500 mg/m² + carboplatin AUC 6; option for pemetrexed maintenance therapy

^cPermitted if crossover eligibility criteria met, including progression confirmed by independent radiology review

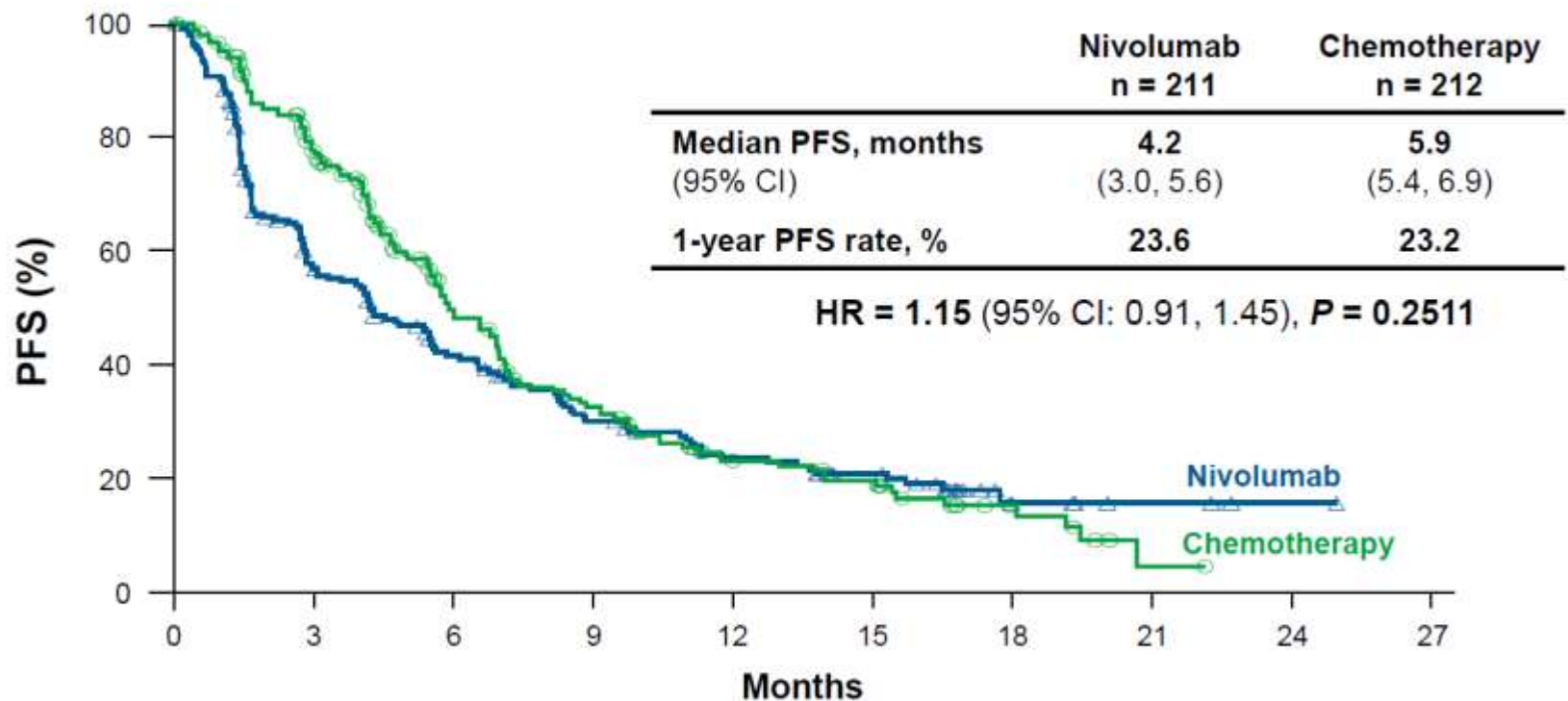
^dTumor response assessment for PFS and ORR per RECIST v1.1 as determined by independent central review

Phase III - Checkmate 026

First-line Platinum Doublet vs. Nivolumab

Primary Endpoint (PFS per IRRC in $\geq 5\%$ PD-L1+)

CheckMate 026: Nivolumab vs Chemotherapy in First-line NSCLC



No. of patients at risk:

	0	3	6	9	12	15	18	21	24	27
Nivolumab	211	104	71	49	35	24	6	3	1	0
Chemotherapy	212	144	74	47	28	21	8	1	0	0

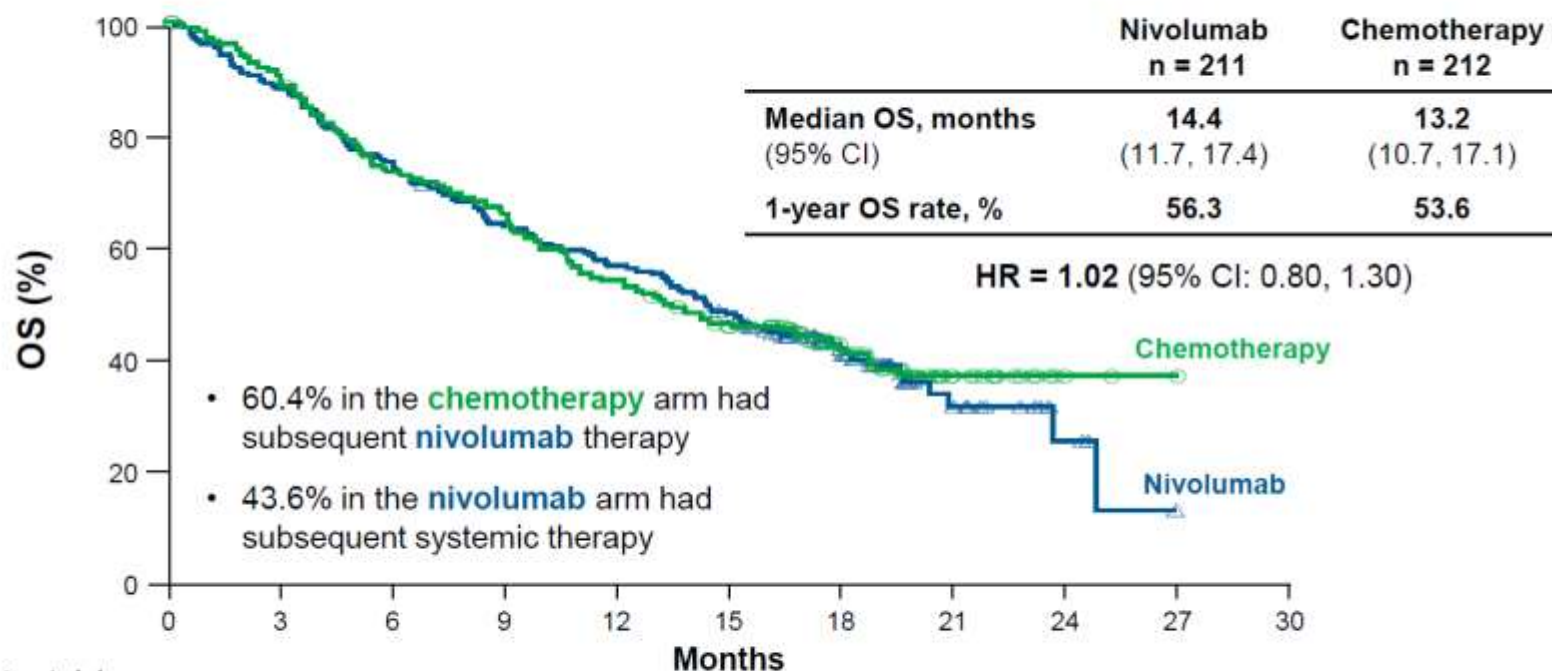
All randomized patients ($\geq 1\%$ PD-L1+): HR = 1.17 (95% CI: 0.95, 1.43)

Phase III - Checkmate 026

First-line Platinum Doublet vs. Nivolumab

OS ($\geq 5\%$ PD-L1+)

CheckMate 026: Nivolumab vs Chemotherapy in First-line NSCLC



No. of patients at risk:

	0	3	6	9	12	15	18	21	24	27	30
Nivolumab	211	186	156	133	118	98	49	14	4	0	0
Chemotherapy	212	186	153	137	112	91	50	15	3	1	0

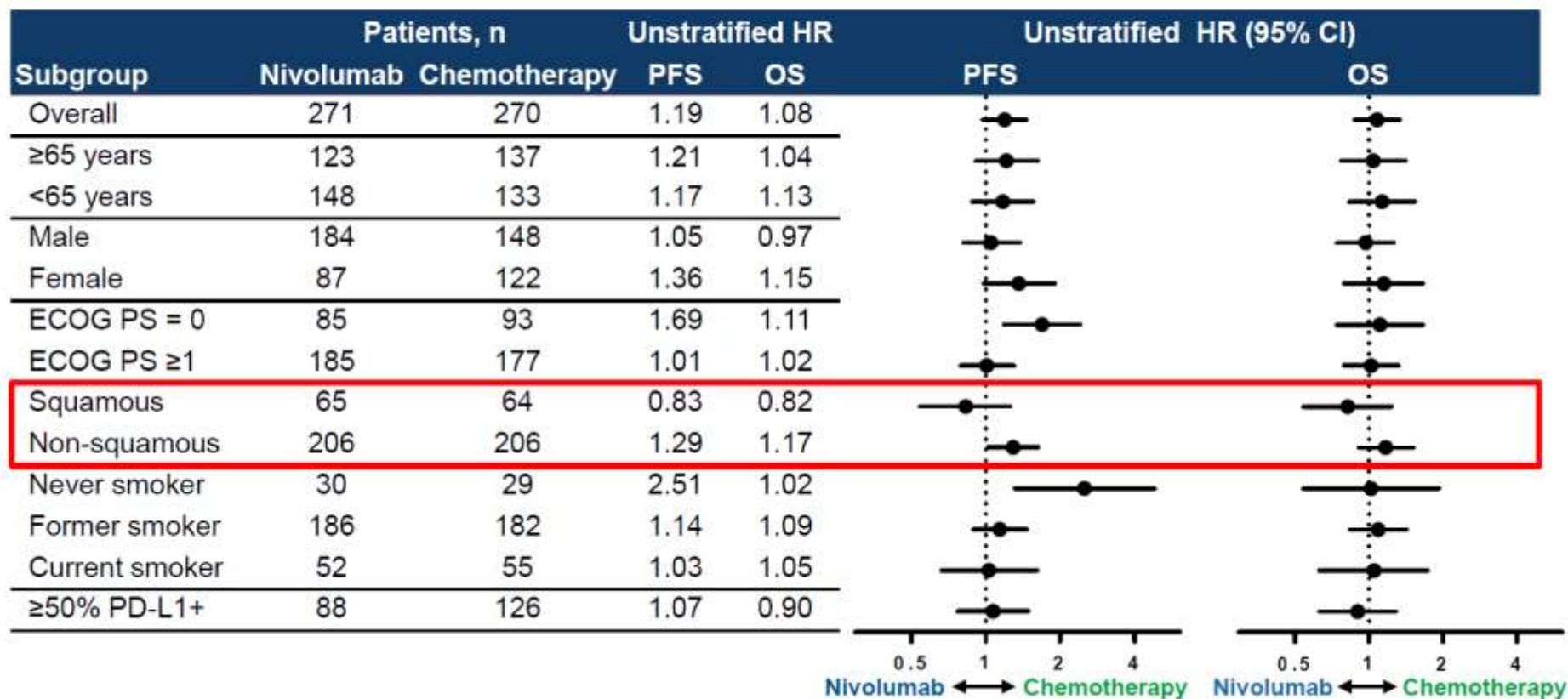
All randomized patients ($\geq 1\%$ PD-L1+): HR = 1.07 (95% CI: 0.86, 1.33)

Phase III - Checkmate 026

First-line Platinum Doublet vs. Nivolumab

PFS and OS Subgroup Analyses (All Randomized Patients)

CheckMate 026: Nivolumab vs Chemotherapy in First-line NSCLC



Phase III - Checkmate 026

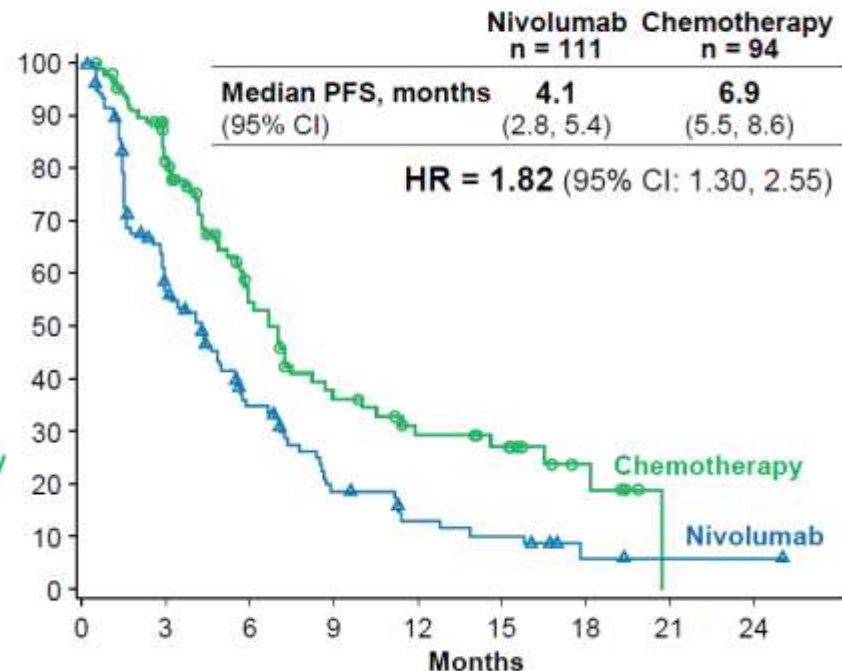
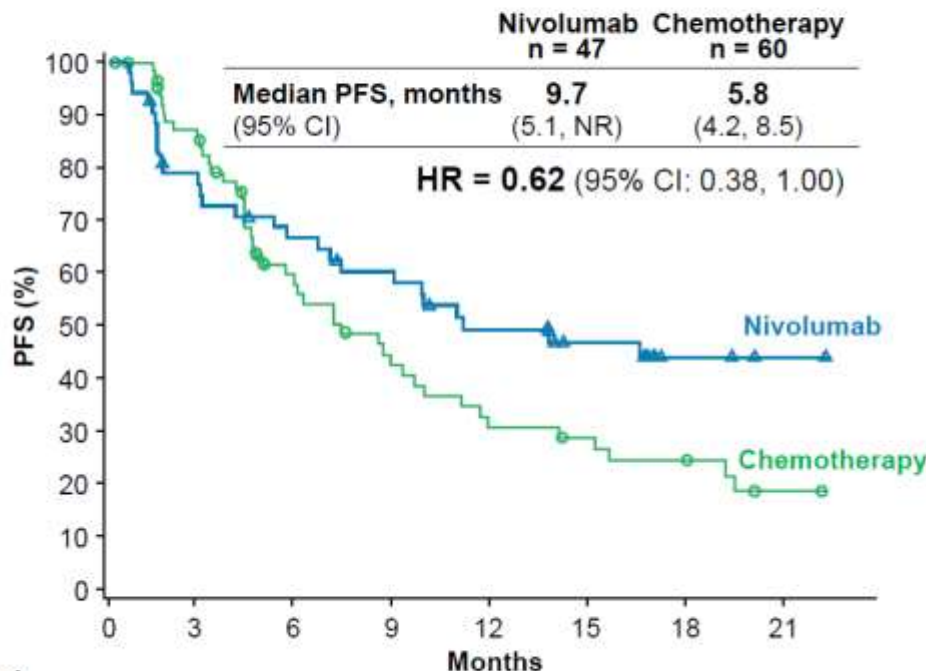
First-line Platinum Doublet vs. Nivolumab

PFS by Tumor Mutation Burden Subgroup

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC

High TMB

Low/medium TMB



No. at Risk	0	3	6	9	12	15	18	21
Nivolumab	47	30	26	21	16	12	4	1
Chemotherapy	60	42	22	15	9	7	4	1

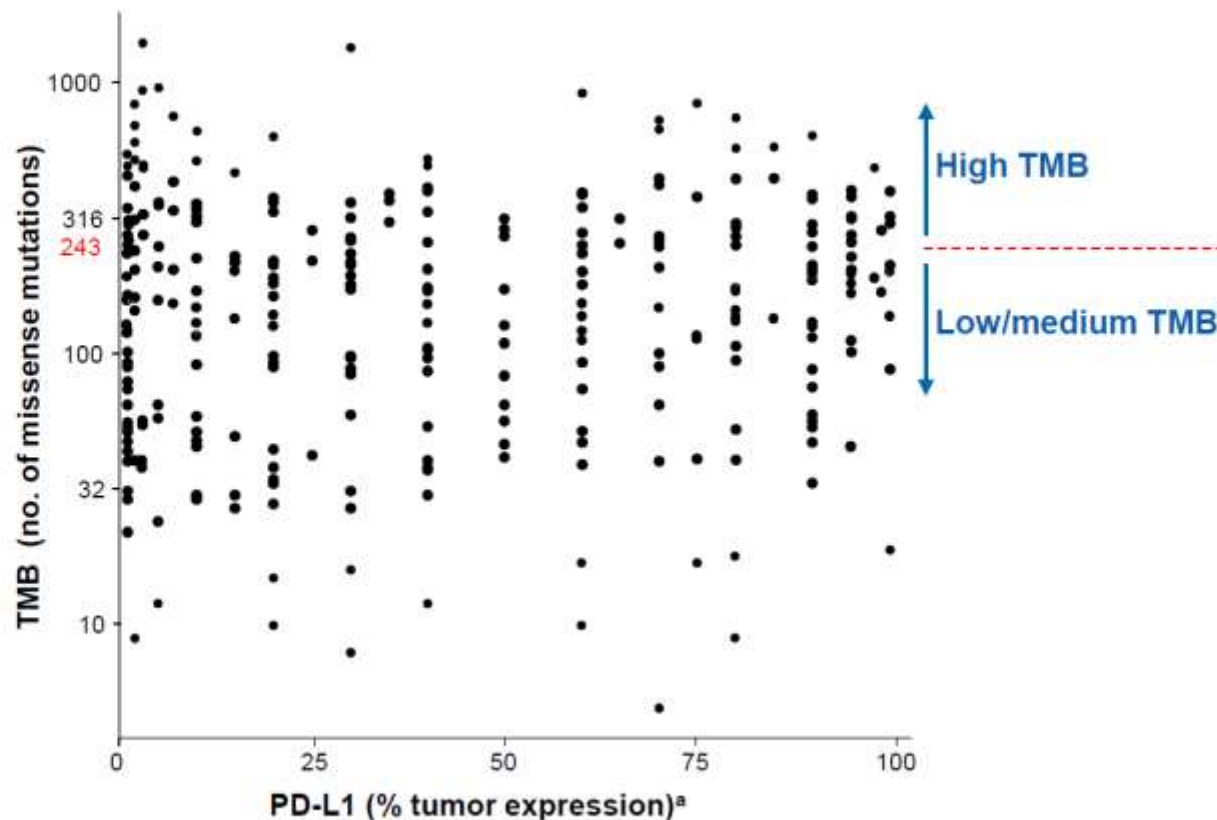
No. at Risk	0	3	6	9	12	15	18	21	24
Nivolumab	111	54	30	15	9	7	2	1	1
Chemotherapy	94	65	37	23	15	12	5	0	0

Phase III - Checkmate 026

First-line Platinum Doublet vs. Nivolumab

Analysis of the Association Between TMB and PD-L1 Expression^a

CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC



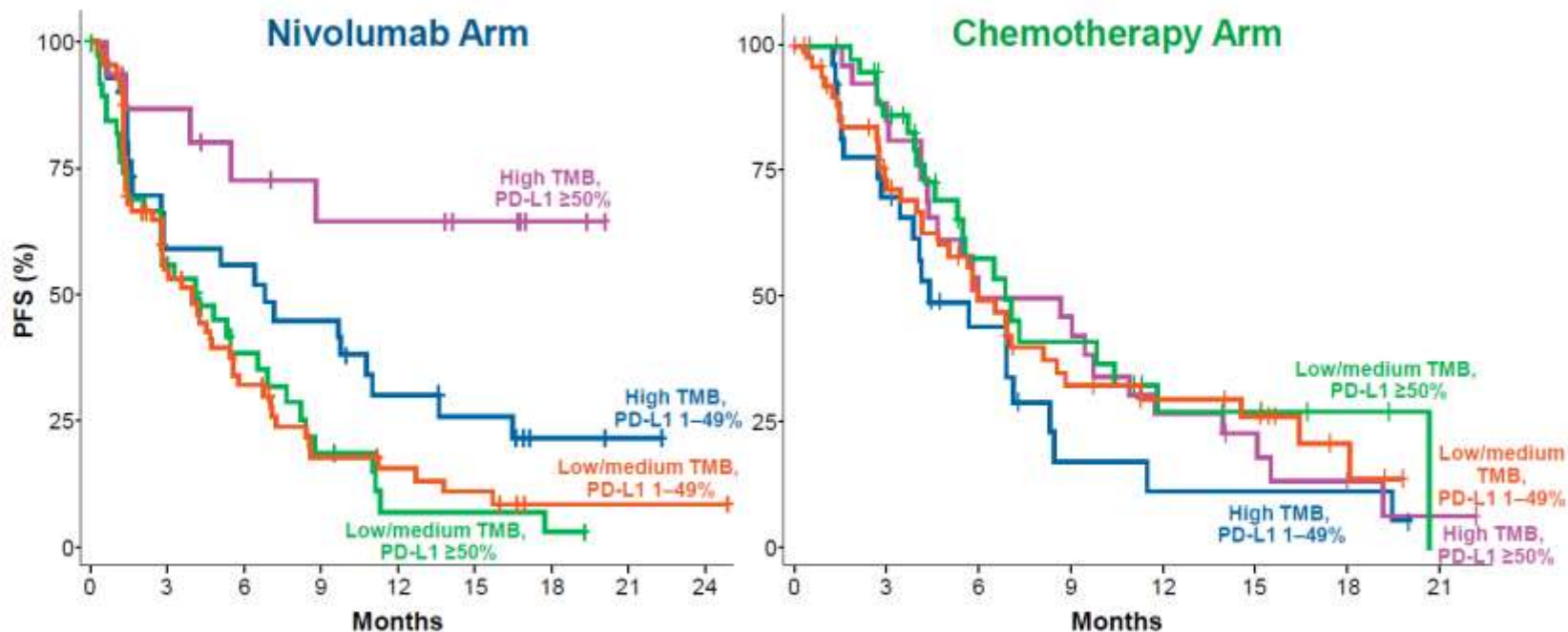
- There was no association between TMB and PD-L1 expression in patients with $\geq 1\%$ PD-L1 tumor expression

^aAll patients had $\geq 1\%$ PD-L1 tumor expression

Phase III - Checkmate 026

First-line Platinum Doublet vs. Nivolumab

PFS by TMB Subgroup and PD-L1 Expression
 CheckMate 026 TMB Analysis: Nivolumab in First-line NSCLC



No. at Risk	Nivolumab Arm									Chemotherapy Arm								
	0	3	6	9	12	15	18	21	24	0	3	6	9	12	15	18	21	
High TMB, PD-L1 $\geq 50\%$	16	13	10	8	8	6	2	0	0	32	24	13	12	7	5	2	1	
High TMB, PD-L1 1-49%	31	17	16	13	8	6	2	1	0	28	18	9	3	2	2	2	0	
Low/medium TMB, PD-L1 $\geq 50\%$	41	21	12	6	2	2	1	0	0	41	30	14	10	5	4	2	0	
Low/medium TMB, PD-L1 1-49%	70	33	18	9	7	5	1	1	1	53	35	23	13	10	8	3	0	

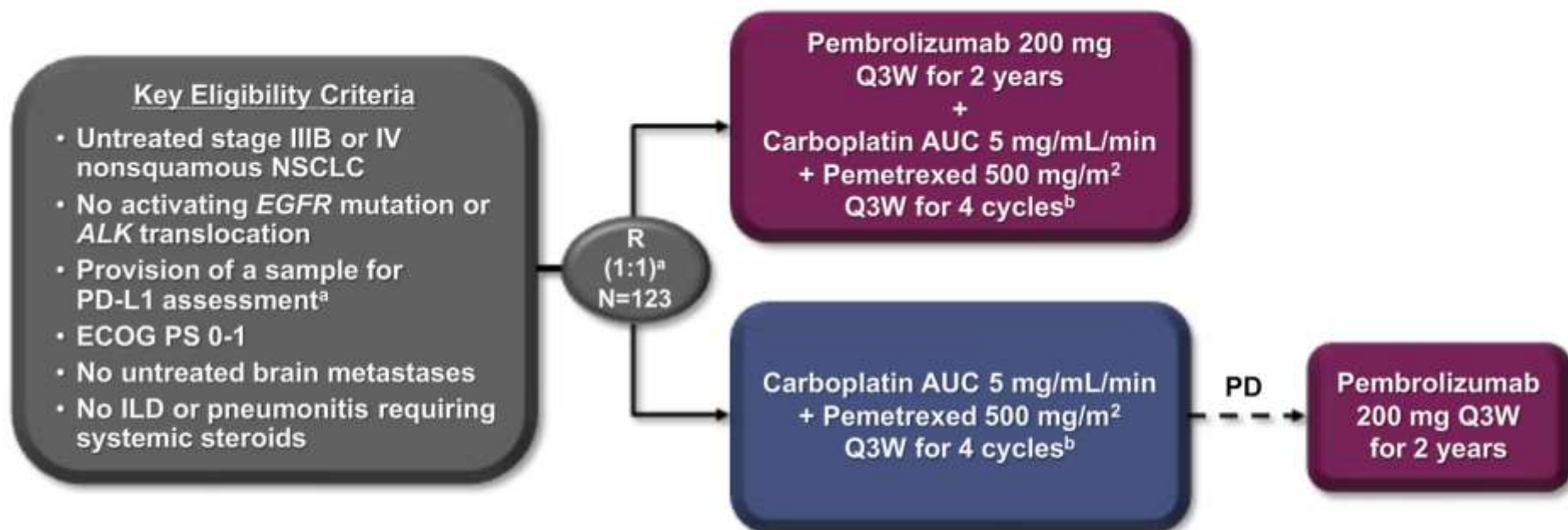
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Phase II - Keynote 021

First-line Carboplatin / Pemetrexed ± Pembrolizumab

KEYNOTE-021 Cohort G



End Points

Primary: ORR (RECIST v1.1 per blinded, independent central review)

Key secondary: PFS

Other secondary: OS, safety, relationship between antitumor activity and PD-L1 TPS

PD=progressive disease.

^aRandomization was stratified by PD-L1 TPS <1% vs ≥1%.

^bIndefinite maintenance therapy with pemetrexed 500 mg/m² Q3W permitted.



Phase II - Keynote 021

First-line Carboplatin / Pemetrexed ± Pembrolizumab

Best Overall Response

(RECIST v1.1 by Blinded, Independent Central Review)

	Pembro + Chemo N = 60	Chemo Alone N = 63
Best response, n (%)		
Complete response ^a	0	0
Partial response ^a	33 (55)	18 (29)
Stable disease	20 (33)	26 (41)
Progressive disease	2 (3)	11 (17)
Not evaluable ^b	5 (8)	8 (13)

^aConfirmed responses only.

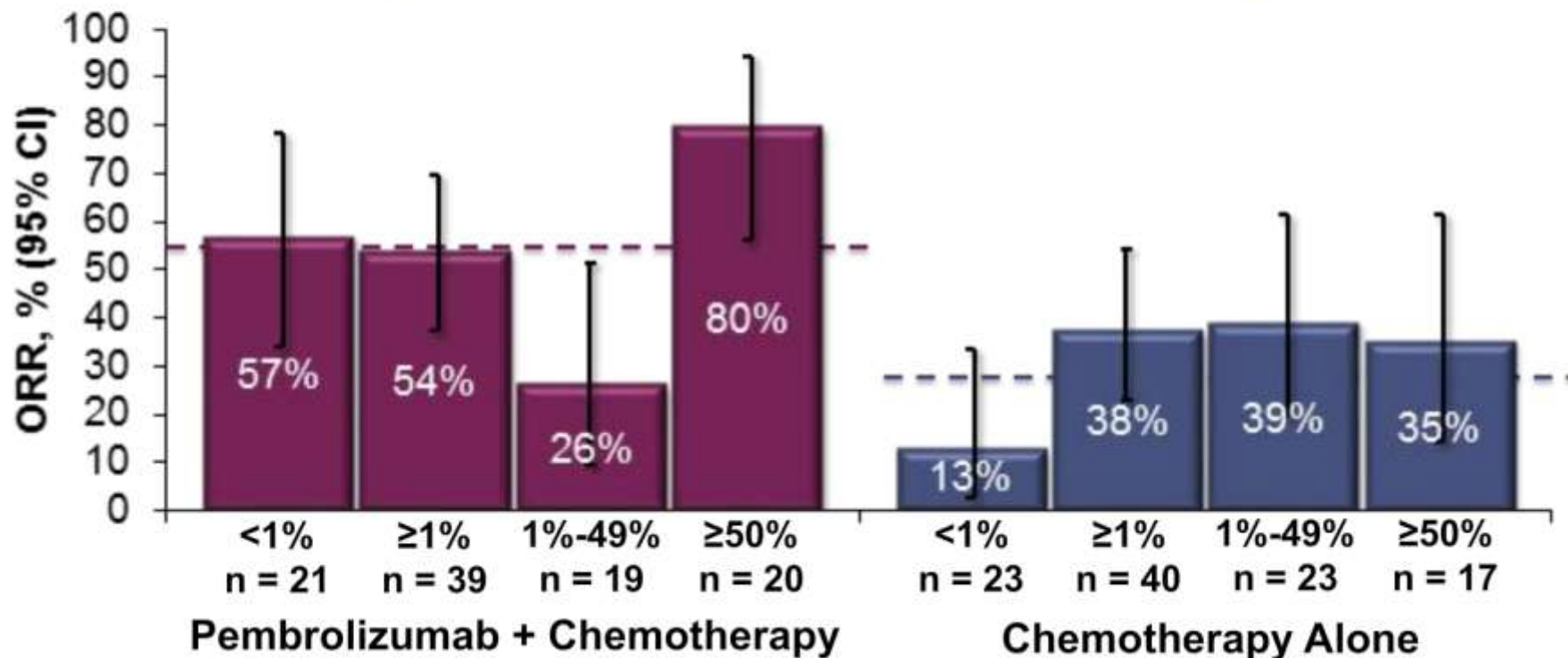
^bNo postbaseline scan performed or a baseline or postbaseline scan not evaluable per RECIST v1.1 by blinded, independent central review.

Data cut-off: August 8, 2016.

Phase II - Keynote 021

First-line Carboplatin / Pemetrexed ± Pembrolizumab

Objective Response Rate by PD-L1 Status (RECIST v1.1 by Blinded, Independent Central Review)

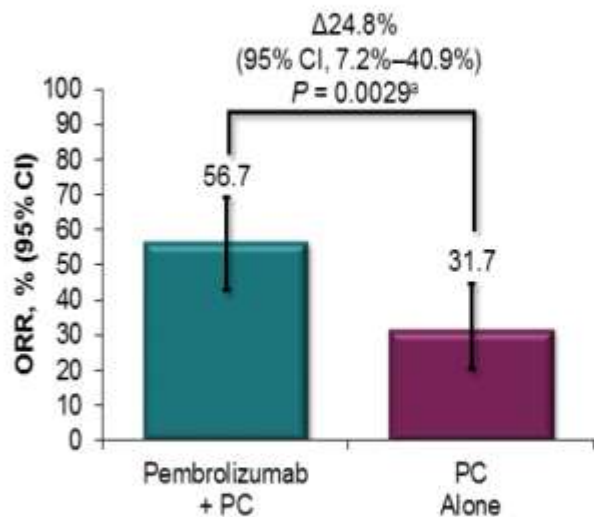


Horizontal dotted lines represent the ORR in the total population.
Data cut-off: August 8, 2016.

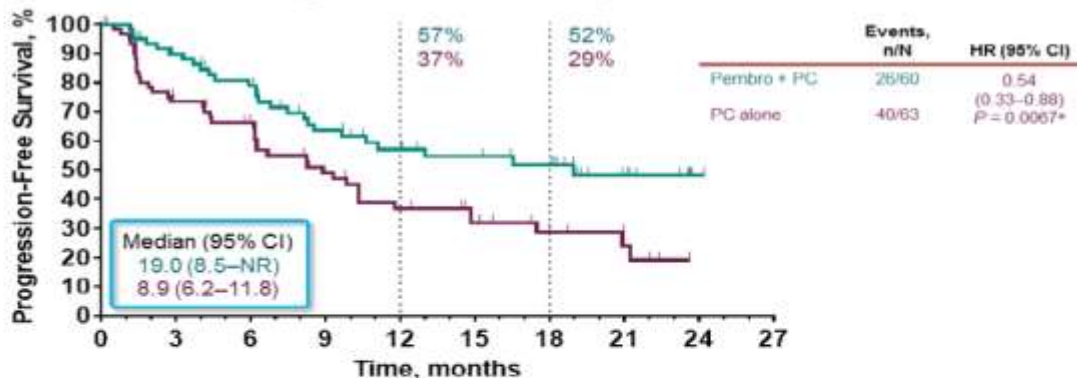


Phase II - Keynote 021

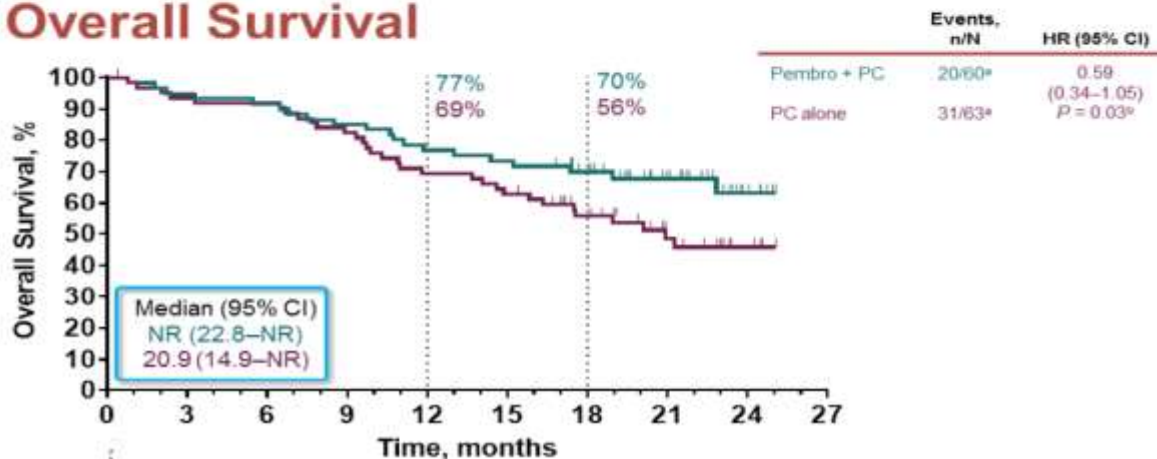
First-line Carboplatin / Pemetrexed ± Pembrolizumab



Progression-Free Survival (RECIST v1.1 by Blinded, Independent Central Review)



Overall Survival

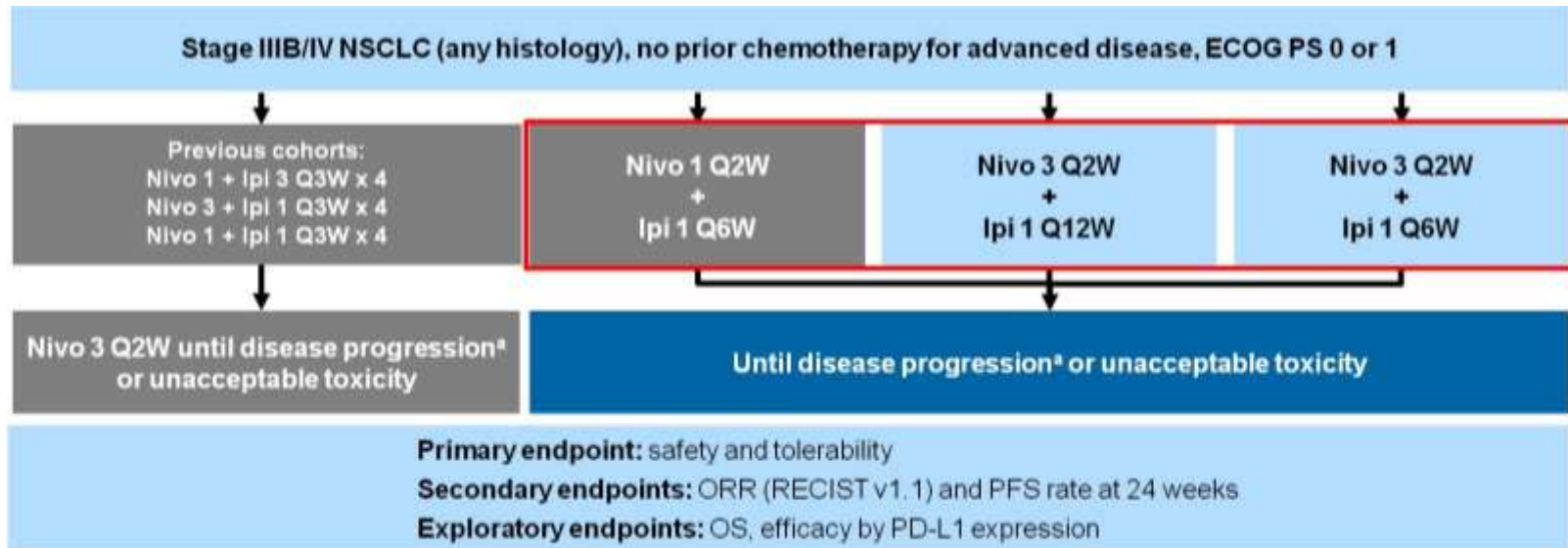


Non-Small Cell Lung Cancer

- PD-1/PD-L1 Inhibitors in second-line therapy
 - Nivolumab
 - Pembrolizumab
 - Atezolizumab
- PD-L1 expression as a biomarker
- First-line treatment
 - PD-1 inhibitor versus chemotherapy
 - Chemotherapy +/- PD-1 inhibitors
 - Nivolumab +/- ipilimumab
- Consolidation treatment
 - Durvalumab

Phase I - Checkmate 012

First-line Ipilimumab / Nivolumab



- The safety and tolerability of the nivolumab–ipilimumab combination was improved with less frequent ipilimumab dosing⁵
- Schedules with nivolumab 3 mg/kg also showed increased clinical efficacy in a previous analysis⁵
- Here, we report longer follow-up on nivolumab 3 mg/kg plus ipilimumab schedules^b

^aPatients tolerating study treatment permitted to continue treatment beyond RECIST v1.1-defined progression if considered to be deriving clinical benefit

^bFebruary 2016 database lock

Ipilimumab and nivolumab dosing are shown in mg/kg IV (eg, nivo 1 = nivolumab 1 mg/kg IV)

Phase I - Checkmate 012

First-line Ipilimumab / Nivolumab

Summary of Efficacy

	Nivo 3 Q2W + Ipi 1 Q12W (n = 38)	Nivo 3 Q2W + Ipi 1 Q6W (n = 39)	Nivo 3 Q2W (n = 52)
Confirmed ORR, % (95% CI)	47 (31, 64)	39 (23, 55)	23 (13, 37)
Median duration of response, mo (95% CI)	NR (11.3, NR)	NR (8.4, NR)	NR (5.7, NR)
Median length of follow-up, mo (range)	12.9 (0.9–18.0)	11.8 (1.1–18.2)	14.3 (0.2–30.1)
Best overall response, %			
Complete response	0	0	8
Partial response	47	39	15
Stable disease	32	18	27
Progressive disease	13	28	38
Unable to determine	8	15	12
Median PFS, mo (95% CI)	8.1 (5.6, 13.6)	3.9 (2.6, 13.2)	3.6 (2.3, 6.6)
1-year OS rate, % (95% CI)	NC	69 (52, 81)	73 (59, 83)

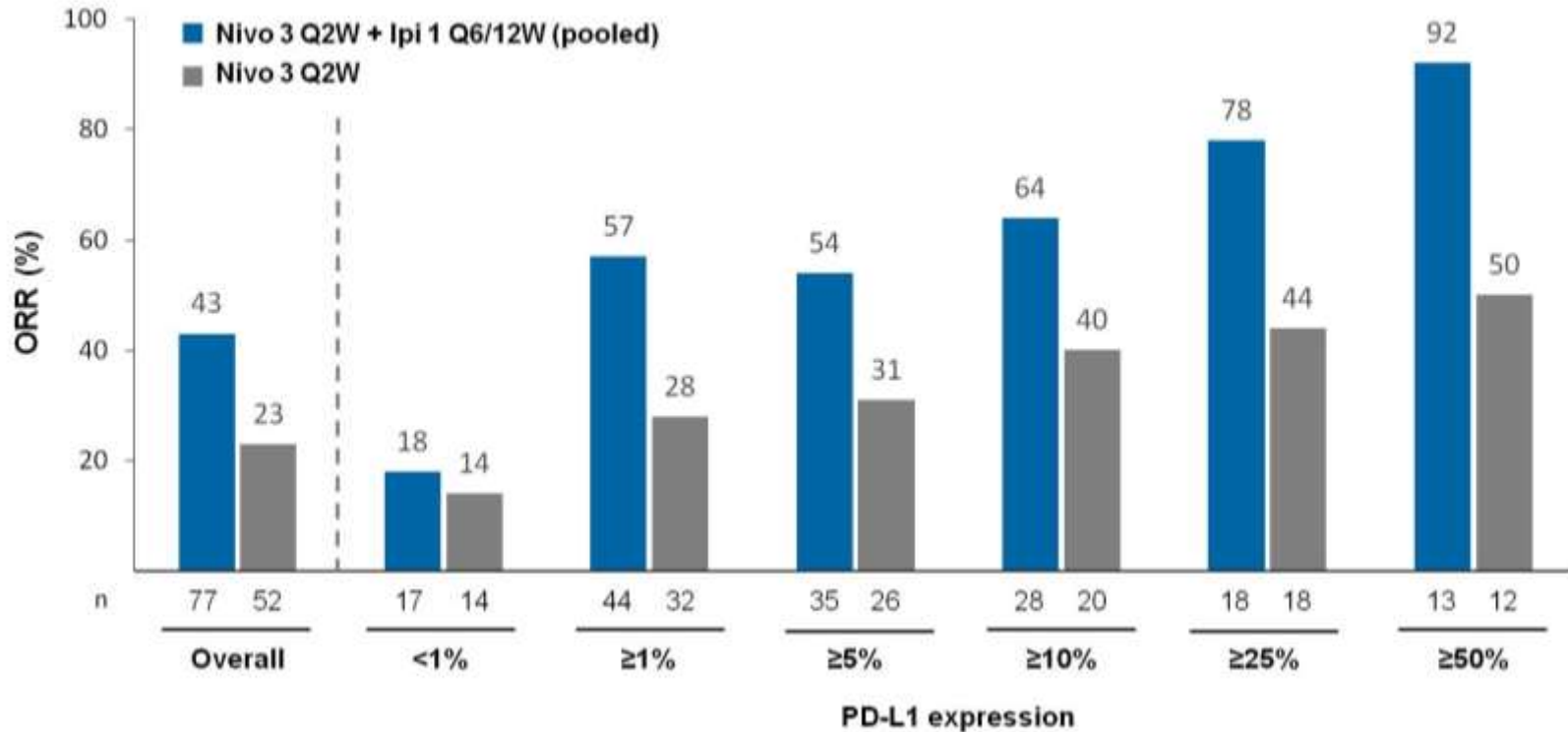
NC = not calculated (when >25% of patients are censored); NR = not reached

Combination data based on a February 2016 database lock; monotherapy data based on a March 2015 database lock except for OS data, which are based on an August 2015 database lock

Phase I - Checkmate 012

First-line Ipilimumab / Nivolumab

Efficacy Across All Tumor PD-L1 Expression Levels



Combination data based on a February 2016 database lock; monotherapy data based on a March 2015 database lock

Phase I - Checkmate 012

First-line Ipilimumab / Nivolumab

Safety Summary

	Nivo 3 Q2W + Ipi 1 Q12W (n = 38)		Nivo 3 Q2W + Ipi 1 Q6W (n = 39)		Nivo 3 Q2W (n = 52)	
	Any grade	Grade 3–4	Any grade	Grade 3–4	Any grade	Grade 3–4
Treatment-related AEs, %	82	37	72	33	71	19
Treatment-related AEs leading to discontinuation, %	11	5	13	8	10	10

- There were no treatment-related deaths
- Treatment-related grade 3–4 AEs led to discontinuation at a third of the rate seen with older combination arms using higher or more frequent doses of ipilimumab⁶

Combination data based on a February 2016 database lock; monotherapy data based on a March 2015 database lock

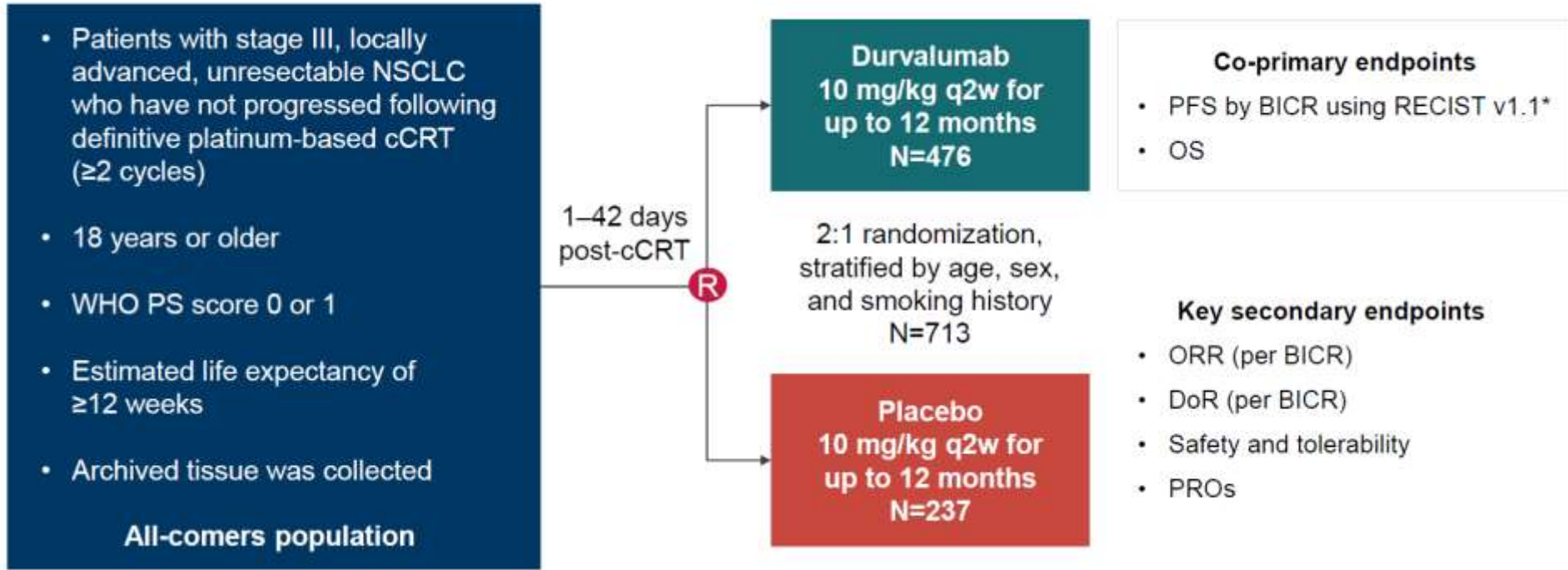
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Non-Small Cell Lung Cancer

- PD-1/PD-L1 Inhibitors in second-line therapy
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 - PD-1 inhibitor versus chemotherapy
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 - Nivolumab +/- ipilimumab
- Consolidation treatment
 - Durvalumab

Phase III - PACIFIC

Durvalumab vs. Placebo Consolidation



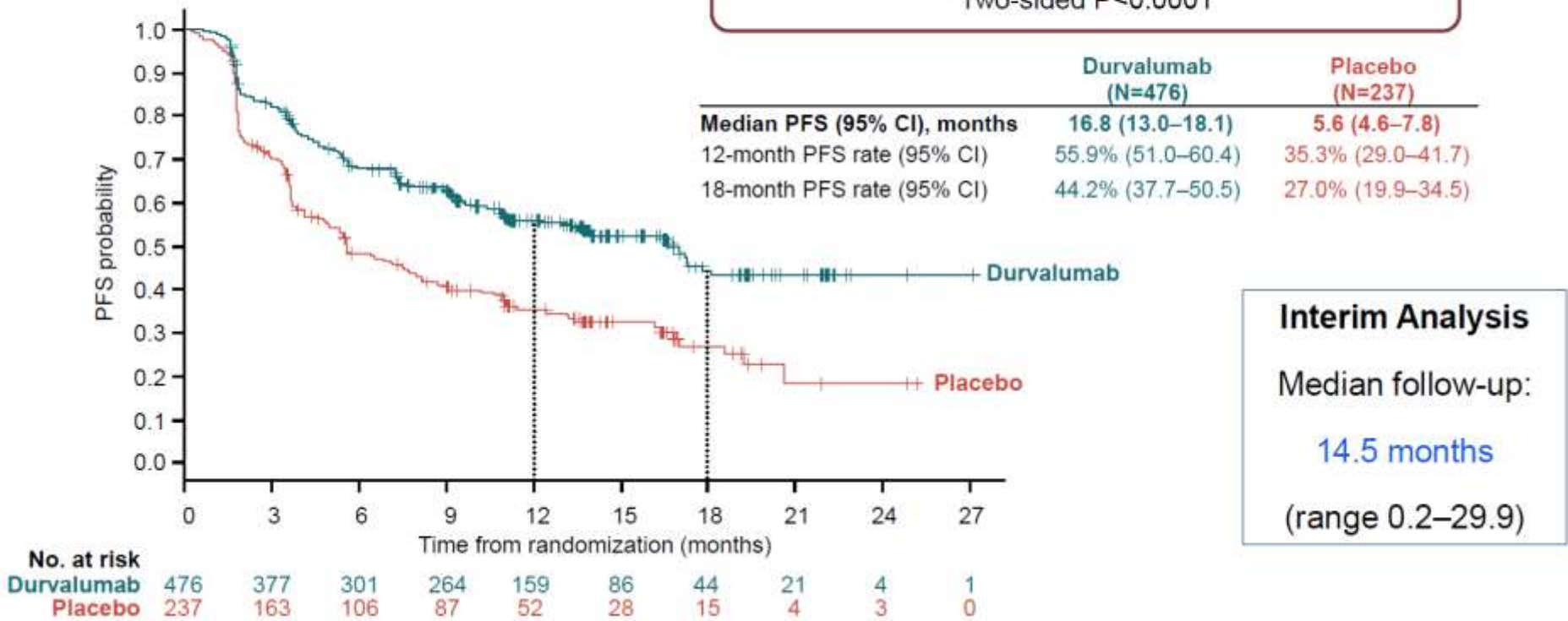
Phase III - PACIFIC

Durvalumab vs. Placebo Consolidation



PFS by BICR (Primary Endpoint; ITT)

Stratified hazard ratio, 0.52 (95% CI, 0.42–0.65)
Two-sided P<0.0001



BICR, blinded independent central review; CI, confidence interval; ITT, intention-to-treat; PFS, progression-free survival

Summary

- PD-(L)1 inhibitors are now the standard treatment option for patients progressing after platinum-based therapies
 - Improved survival compared to docetaxel
 - Improved side effect profile compared to docetaxel
- PD-L1 expression determination in the second-line setting is only required for pembrolizumab use
- PD-L1 expression predicts for greater benefit from PD-(L)1 inhibitors
- Pembrolizumab first-line is the new standard of care for patients with TPS \geq 50%
- Carboplatin, pemetrexed and pembrolizumab first-line is an option for selected patients
- Durvalumab should become a new standard consolidation therapy after chemoXRT in stage III NSCLC